FILED
FEB 21 2006
OFFICE OF THE CLERK
SUPREME COURT, U.S.

No. 05-409

IN THE

Supreme Court of the United States

CARL KIRCHER, ET AL.,
Petitioners,

v.

PUTNAM FUNDS TRUST, ET AL.,
Respondents.

On Writ of Certiorari to the United States Court of Appeals for the Seventh Circuit

JOINT APPENDIX
(Volume I of II – Pages 1-165)

MARK A. PERRY
Counsel of Record
GIBSON, DUNN & CRUTCHER LLP
1050 Connecticut Avenue, N.W.
Washington, D.C. 20036
(202) 955-8500

Counsel for Respondent Janus Capital Management LLC

[Counsel for Other Respondents Listed on Inside Cover]

DAVID C. FREDERICK
Counsel of Record
SCOTT K. ATTAWAY
PRIYA R. AIYAR
F. ANDREW HESSICK III
KELLOGG, HUBER, HANSEN, TODD, EVANS & FIGEL, P.L.L.C.
1615 M Street, N.W., Suite 400
Washington, D.C. 20036
(202) 326-7900

Counsel for Petitioners

February 21, 2006

PETITION FOR A WRIT OF CERTIORARI FILED: SEPTEMBER 29, 2005
CERTIORARI GRANTED: JANUARY 6, 2006

JOHN D. DONOVAN, JR.
ROPES & GRAY LLP
One International Place
Boston, Massachusetts 02110
(617) 951-7000

THOMAS B. SMITH
ROPES & GRAY LLP
700 12th Street, N.W.
Washington, D.C. 20005
(202) 508-4600

Counsel for Respondents Putnam Funds Trust, Putnam International Equity Fund, Putnam Investment Funds, Artisan Funds, Inc., and Scudder International Fund, Inc.

STEVEN B. FEIRSON
STEPHEN J. MCCONNELL
NORY MILLER
DECHERT LLP
4000 Bell Atlantic Tower
Philadelphia, Pennsylvania 19103
(215) 994-2369

Counsel for Respondent Pacific Life Insurance Company

CHRISTOPHER P. HALL
MORGAN, LEWIS & BOCKIUS LLP
101 Park Avenue
New York, New York 10178
(212) 309-6702

Counsel for Respondent Deutsche Investment Management Americas Inc.

DALE R. HARRIS
DAVIS GRAHAM & STUBBS LLP
1550 17th Street
Denver, Colorado 80202
(303) 892-9400

Counsel for Respondent Janus Investment Fund

PHIL C. NEAL
MARK A. RABINOWITZ
NEAL, GERBER & EISENBERG LLP
Two North LaSalle Street
Chicago, Illinois 60602
(312) 269-8083

Counsel for Respondent Columbia Wanger Asset Management L.P.

JOHN W. ROTUNNO
KENNETH E. RECHTORIS
BELL, BOYD & LLOYD LLC
70 West Madison Street
Chicago, Illinois 60602
(312) 807-4213

Counsel for Respondents Artisan Partners Limited Partnership and Columbia Acorn Trust

JAMES R. CARROLL
DAVID S. CLANCY
SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP
One Beacon Street
Boston, Massachusetts 02108
(617) 573-4889

Counsel for Respondent Putnam Investment Management, LLC

CHARLES F. SMITH
LEE P. GARNER
SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP
333 West Wacker Drive
Chicago, Illinois 60606
(312) 407-0787

Counsel for Respondent Van Kampen Series Fund, Inc.

ROBERT Y. SPERLING
DAVID E. KOROPP
WINSTON & STRAWN
35 West Wacker Drive
Chicago, Illinois 60601
(312) 558-5600

Counsel for Respondent Van Kampen Investment Advisory Corporation

TABLE OF CONTENTS

Page

VOLUME I

Relevant Docket Entries:

Third Judicial Circuit Court of Madison County, Illinois:

Parthasarathy, et al. v. T. Rowe Price International Funds, Inc., et al., Cause No. 03-L-1253 1

Potter, et al. v. Janus Investment Fund, et al., Cause No. 03-L-1254 6

Kircher, et al. v. Putnam Funds Trust, et al., Cause No. 03-L-1255 12

Dudley, et al. v. Putnam International Equity Fund, et al., Cause No. 03-L-1539 17

Dudley, et al. v. Putnam Investment Funds, et al., Cause No. 03-L-1540 21

Vogeler v. Columbia Acorn Trust, et al., Cause No. 03-L-1550 25

Jackson v. Van Kampen Series Fund, Inc., et al., Cause No. 03-L-2036 30

Spurgeon v. Pacific Life Insurance Co., Cause No. 04-L-241 34

United States District Court for the Southern District of Illinois (East St. Louis):

Parthasarathy, et al. v. T. Rowe Price International Funds, Inc., et al., Civil No. 03-CV-673-DRH 37

Kircher, et al. v. Putnam Funds Trust, et al., Civil No. 03-CV-691-DRH 46

Potter, et al. v. Janus Investment Fund, et al., Civil No. 03-CV-692-DRH 52

Potter, et al. v. Janus Investment Fund, et al., Civil No. 03-CV-693-DRH 59

Vogeler v. Columbia Acorn Trust, et al., Civil No. 03-CV-843-DRH 62

Dudley, et al. v. Putnam International Equity Fund, et al., Civil No. 03-CV-852-GPM 67

Dudley, et al. v. Putnam Investment Funds, et al., Civil No. 03-CV-853-GPM 72

Jackson v. Van Kampen Series Fund, Inc., et al., Civil No. 04-CV-56-DRH 78

Spurgeon, etc. v. Pacific Life Insurance Co., et al., Civil No. 04-CV-355-MJR 82

United States Court of Appeals for the Seventh Circuit:

Kircher, et al. v. Putnam Funds Trust, et al., No. 04-1495 89

Dudley, et al. v. Putnam International Equity Fund, et al., No. 04-1496 98

Dudley, et al. v. Putnam Investment Management, LLC, et al., No. 04-1608 107

Parthasarathy, et al. v. Artisan Funds, Inc., et al., No. 04-1628 116

Luettinger, et al. v. Scudder International Fund, Inc., et al., No. 04-1650 123

Luettinger, et al. v. Janus Investment Fund, et al., No. 04-1651 131

Vogeler v. Columbia Wanger Asset Management L.P., et al., No. 04-1660 139

Vogeler v. Columbia Acorn Trust, No. 04-1661 146

Jackson v. Van Kampen Series Fund, Inc., et al., No. 04-2162 153

Spurgeon, etc. v. Pacific Life Insurance Co., et al., No. 04--2687 160

VOLUME II

Complaints:

Complaint, *Potter, et al. v. Janus Investment Fund, et al.*, Cause No. 03-L-1254 (filed Sept. 16, 2003) 166

Complaint, *Kircher, et al. v. Putnam Funds Trust, et al.*, Cause No. 03-L-1255 (filed Sept. 16, 2003) 189

First Amended Complaint, *Parthasarathy, et al. v. T. Rowe Price International Funds, Inc., et al.*, Cause No. 03-L-1253 (filed Oct. 3, 2003) 213

Complaint, *Dudley, et al. v. Putnam International Equity Fund, et al.*, Cause No. 03-L-1539 (filed Nov. 10, 2003) 242

Complaint, *Dudley, et al. v. Putnam Investment Funds, et al.*, Cause No. 03-L-1540 (filed Nov. 10, 2003) 260

Complaint, *Vogeler v. Columbia Acorn Trust, et al.*, Cause No. 03-L-1550 (filed Nov. 13, 2003) 277

Complaint, *Jackson v. Van Kampen Series Fund, Inc., et al.*, Cause No. 03-L-2036 (filed Nov. 13, 2003) 294

Complaint, *Spurgeon v. Pacific Life Insurance Co.*, Cause No. 04-L-241 (filed Mar. 12, 2004) 311

Notices of Removal/Consents to Removal:

Notice of Removal, *Parthasarathy, et al. v. T. Rowe Price International Funds, Inc., et al.*, Civil No. 03-CV-673-DRH (filed Oct. 16, 2003) 331

Consent to Removal, *Parthasarathy, et al. v. T. Rowe Price International Funds, Inc., et al.*, Civil No. 03-CV-673-DRH (filed Oct. 23, 2003) 337

Notice of Removal, *Kircher, et al. v. Putnam Funds Trust, et al.*, Civil No. 03-CV-691-DRH (filed Oct. 23, 2003) 341

Notice of Removal, *Potter, et al. v. Janus Investment Fund, et al.*, Civil No. 03-CV-693-DRH (filed Oct. 23, 2003) 358

Janus Capital's Notice of Consent to and Joinder in Removal, *Potter, et al. v. Janus Investment Fund, et al.*, Civil No. 03-CV-693-DRH (filed Oct. 23, 2003) 367

Notice of Removal, *Vogeler v. Columbia Acorn Trust, et al.*, Civil No. 03-CV-843-DRH (filed Dec. 12, 2003) 369

Notice of Removal, *Dudley, et al. v. Putnam International Equity Fund, et al.*, Civil No. 03-CV-852-GPM (filed Dec. 15, 2003) 373

Notice of Removal, *Dudley, et al. v. Putnam Investment Funds, et al.*, Civil No. 03-CV-853-GPM (filed Dec. 15, 2003) 390

Notice of Removal, *Jackson v. Van Kampen Series Fund, Inc., et al.*, Civil No. 04-CV-56-DRH (filed Jan. 22, 2004) 407

Notice of Removal, *Spurgeon, etc. v. Pacific Life Insurance Co., et al.*, Civil No. 04-CV-355-MJR (filed May 21, 2004) 413

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 03-L-1253

T.K. PARTHASARATHY, EDMUND WOODBURY, STUART ALLEN SMITH, AND SHARON SMITH, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiffs,

v.

T. ROWE PRICE INTERNATIONAL FUNDS, INC., A CORPORATION, T. ROWE PRICE INTERNATIONAL, INC., ARTISAN FUNDS, INC., A CORPORATION, ARTISAN PARTNERS LIMITED PARTNERSHIP, AIM INTERNATIONAL FUNDS, INC., A CORPORATION, AND AIM ADVISORS, INC.,
Defendants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
9/16/03	Negligence Fee $186.00 Amt $50000.01 Plaintiff PARTHASARATHY T K IND AND ON B Defendant T ROWE PRICE INTERNATIONAL FUN
	* * * * *
10/03/03	ORDER THIS CAUSE COMING ON FOR HEARING ON PLTF'S MOTION FOR LEAVE TO AMEND AND THE COURT BEING FULLY ADVISED IN THE PREMISES, HEREBY GRANTS

	PLTF'S MOTION FOR LEAVE TO AMEND. S/MADDOX C/S TKC
10/3/03	FIRST AMENDED COMPLAINT
10/3/03	MOTION FOR LEAVE TO AMEND W/PROPSOED [*sic*] ORDER AND PROPSOED [*sic*] AMENDED COMPLAINT W/AFFIDAVIT OF STEPHEN M TILLERY
	* * * * *
10/17/03	NOTICE OF REMOVAL W/ ATTACHMENTS & NOTICE TO CLERK. FILED BY DEFTS: T. ROWE PRICE INTERNATIONAL FUNDS, INC., T. ROWE PRICE INTERNATIONAL, INC., AIM INTERNATIONAL FUNDS, INC. & AIM ADVISORS INC. DF
10/17/03	JUDGMENT/REMOVED TO U.S. DISTRICT COURT Status: U.S. REMOVAL Report: TERMINATED Oct. 17, 2003
	* * * * *
2/2/04	Case Reinstated Status: Open Case Report: REINSTATED Feb 2, 2004
2/4/04	CERT COPY OF MEMO AND ORDER FILED 2-2-04 REMANDING THIS ACTION TO THE MAD CO CIRCUIT COURT. IT IS SO ORDERED. S/DAVID R HERNDON US DISTRICT JUDGE.
	* * * * *
3/1/04	MOTIONS TO DISMISS PURS TO SECTION 5/2-301 FOR LACK OF PERSONAL JURISDICTION AND IN THE ALT TO STRIKE AND DISMISS PURS

	TO SECTION 5/2-615 FILED BY ARTISAN FUNDS INC AND ARTISAN PARTNERS LIMITED PARTNERSHIP
	* * * * *
3/12/04	MEMORANDUM IN SUPPORT OF MOTIONS (1) PURSUANT TO SEC 2-301 OF THE ILLINOIS CODE OF CIVIL PROCEDURE TO DISMISS FOR LACK OF PERSONAL JURISDICTION, AND (2) IN THE ALTNERNATIVE [*sic*] TO STRIKE AND DISMISS PURSUANT TO SECTION 2-615 OF THE ILLINOIS CODE OF CIVIL PROCEDURE FILED BY DEFS ARTISAN FUNDS INC & ARTISAN PARTNERS LIMITED PARTNERSHIP
	* * * * *
4/27/04	NOTICE OF PARTIAL WITHDRAWAL FILED BY Defendant ARTISAN FUNDS INC A CORPORATIO
	* * * * *
9/16/04	COPY OF LETTER FROM APPELLATE COURT STATING NOTICE OF INTERLOCUTORY APPEAL HAS BEEN RECEIVED ASSIGNED DOCKET NO 5-04-0569 FILED BY APPELLATE COURT
	* * * * *
10/29/04	ANSWER TO THE FIRST AMENDED COMPLAINT FILED BY Defendant AIM INTERNATIONAL FUNDS INC A
10/29/04	ANSWER TO THE FIRST AMENDED COMPLAINT FILED BY Defendant T ROWE PRICE INTERNATIONAL FUN
	* * * * *

11/23/04	MEMO OF LAW IN SUPPORT OF ITS MOT TO DISMISS FOR LACK OF PERSONAL JURISDICTION, MOT TO DISMISS FOR LACK OF PERSONAL JURISDICTION FILED BY DEFT T ROWE PRICE INTL.
11/30/04	MOTION TO STAY PROCEEDINGS PENDING APPEAL FROM REMAND ORDER W/ATTACH FILED BY Defendant ARTISAN FUNDS INC A CORPORATIO
	* * * * *
1/19/05	MOTION TO SEVER AND DISMISS PURSUANT TO DOCTRINE OF INTERSTATE FORUM NON CONVENIENS FILED BY DEFT T ROWE PRICE INTERNATIONAL FUNDS INC
1/27/05	MOTION TO SEVER AND DISMISS PURSUANT TO DOCTRINE OF INTERSTATE FORUM NON CONVENIENS W/EXHIBIT FILED BY AIM INTERNATIONAL FUNDS INC
	* * * * *
3/22/05	MOTION TO DSIMISS [*sic*] FOR LACK OF PERSONAL JURISDICTION AND EXHIBIT FILED BY Defendant AIM ADVISORS INC
	* * * * *
4/22/05	NOTICE TO CLERK OF REMOVAL, NOTICE OF REMOVAL W/ EXHIBIT A & B FILED BY DEFTS T ROWE PRICE INTERNATIONAL FUNDS, T ROWE PRICE INTERNATIONAL INC, AIM INTERNATIONAL FUNDS INC & AIM ADVISORS (PER JUDGE MENDEL-

SOHN CASE TO REMAIN OPEN UNTIL JUNE REVIEW HEARING. AT THAT TIME, THE MATTER OF DEFTS BEING SEVERED SHALL BE RESOLVED)

* * * * *

6/9/05 NOTICE THAT ORDER REMANDING THIS CASE TO THIS COURT HAS BEEN VACATED AND THAT PLTFS. CLAIMS HAVE BEEN DISMISSED WITH PREJUDICE. FILED BY ARTISAN PARTNERS LIMITED

6/14/05 ORDER (NOTIFICATION TO THE CLERK) – COURT BEIN ADVISED IN THE PREMISES, HEREBY DIRECTS THE CIRCUIT CLERK TO RECORD IN THE DOCKET THE CLOSURE OF THE FILE AGAINST ARTISAN PARTNERS AND ARTISAN FUNDS. S/ JUDGE MENDELSOHN C/S AM

* * * * *

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 03-L-1254

ROBERT POTTER AND DOROTHY LUETTINGER,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

JANUS INVESTMENT FUND, A BUSINESS TRUST;
JANUS CAPITAL MANAGEMENT, LLC;
SCUDDER INTERNATIONAL FUND, INC., A CORPORATION;
AND DEUTSCHE INVESTMENT MANAGEMENT AMERICAS, INC.,
Defendants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
9/16/03	Negligence Fee $186.00 Amt $50000.01 Plaintiff POTTER ROBERT IND AND ON BEHAL
	Defendant JANUS INVESTMENT FUND A BUSINE
	* * * * *
10/6/03	SUMMONS SERVED BY SPS ON 9/24/03 SERVED Defendant DEUTSCHE INVESTMENT MANAGEMENT
	* * * * *
10/10/03	SUMMONS SERVED BY SPS ON 9-25-03 (LEFT W/ CURT FAUST, ASST GEN

	COUNCIL) SERVED Defendant JANUS INVESTMENT FUND A BUSINE
10/10/03	SUMMONS SERVED BY SPS ON 9/25/03 – LEFT WITH CURT FAUST ASST GENL COUNCIL SERVED Defendant JANUS INVESTMENT FUND A BUSINE
10/16/03	SUMMONS SERVED 9/24/03 (JOHN MILLETTE, R/A) BY PPS SERVED Defendant SCUDDER INTERNATIONAL FUND INC
10/23/03	JUDGMENT/REMOVED TO U.S. DISTRICT COURT FILED BY Defendant JANUS CAPITAL MANAGEMENT LLC Status: U.S. REMOVAL Report: TERMINATED Oct. 23, 2003
10/23/03	JUDGMENT/REMOVED TO U.S. DISTRICT COURT – NOTICE OF FILING NOTICE OF R FILED BY Defendant SCUDDER INTERNATIONAL FUND INC Status: U.S. REMOVAL Report: TERMINATED Oct. 23, 2003

* * * * *

2/12/04	COPY OF ORDER FROM UNITED STATES DISTRICT COURT – THE COURT REMANDS THIS ACTION TO THE MADISON COUNTY ILLINOIS CIRCUIT COURT FURTHER THE COURT DENIES AS MOOT ALL OTHER PENDING MOTIONS FILED BY US DISTRICT COURT
2/12/04	Case Reinstated – SEE ORDER FROM UNITED STATES DISTRICT COURT

Status: Open Case Report: REINSTATED Feb 12, 2004

* * * * *

3/10/04 MOTION TO DISMISS, MEMO IN SUPPORT FILED BY Defendant JANUS INVESTMENT FUND A BUSINE Defendant JANUS CAPITAL MANAGEMENT LLC Judge: KARDIS PHILLIP Clerk: MS M

3/10/04 Motion CH, D, ED, F, L, MR (MOTION TO DISMISS), ENTRY OF APPEARANCE, JURY DEMAND Fee $86.00 FILED BY Defendant SCUDDER INTERNATIONAL FUND INC Judge: KARDIS PHILLIP Clerk: MS M

3/10/04 MEMO OF LAW IN SUPPORT OF THE SCUDDER DEFTS MOT TO TRANSF THE CASE TO THE PROPER VENUE FILED BY SCUDDER INTL FUND, INC.

3/10/04 THE SCUDDER DEFTS MOT TO TRANSF THE CASE TO THE PROPER VENUE, AFFID FILED BY Defendant SCUDDER INTERNATIONAL FUND INC

3/10/04 MEMO OF LAW IN SUPPORT OF THE SCUDDER DEFTS MOT TO DISMISS THE COMPL FILED BY Defendant SCUDDER INTERNATIONAL FUND INC

* * * * *

3/22/04 MOTION FOR LEAVE TO AMEND W/PROPOSED ORDER AND PROPOSED FIRST AMENDED COMPLAINT FILED BY PLTFS

* * * * *

3/31/04	ORDER-PLNTFS MOTION FOR LEAVE TO AMEND GRANTED. PLNTFS AMENDED COMPLAINT IS HEREBY FILED, INSTANTER. S/KARDIS C/S
3/31/04	FIRST AMENDED COMPLAINT FILED BY Plaintiff POTTER ROBERT IND AND ON BEHAL
	* * * * *
5/10/04	MOTION TO DISMISS THE AMENDED COMPLAINT ON GROUNDS OF LEGAL DEFECT FILED BY Defendant SCUDDER INTERNATIONAL FUND INC
5/10/04	MOTION TO TRANSFER THE CASE TO THE PROPER VENUE FILED BY Defendant SCUDDER INTERNATIONAL FUND INC
5/10/04	MOTION TO DISMISS THE AMENDED COMPLAINT ON GROUNDS OF MISJOINDER OR ALT TO SEVER THE CLAIMS AGAINST THEM FROM THE CLAIMS AGAINST THE JANUS DEFTS FILED BY DEFTS SCUDDER INTER FUND INC
5/10/04	MOTION TO DISMISS FIRST AMENDED COMPLAINT PURSUANT TO CODE OF CIVIL PROCEDURE 2-615 FILED BY DEFTS
	* * * * *
7/23/04	JOINT MOTION BY DEFENDANTS TO STAY ALL PROCEEDINGS PENDING RESOLUTION OF APPEAL FROM REMAND ORDER
	* * * * *

9/20/04	ORDER: CAUSE CALLED FOR HEARING ON DEF'S MOTION TO STAY ON 9/20/04 PARTIES APPEAR BY COUNSEL. ARGUMENT PRESENTED. COURT TAKES MATTER UNDER ADVISEMENT. S/HARRISON [C/S] [C] RPT:DEBBIE WALLIS]
	* * * * *
2/16/05	ORDER RE: CMC. COURT HEREBY ORDERS THAT ALL PROCEEDINGS IN THIS STATE COURT CASE ARE SUSPENDED FOR A PERIOD OF 120 DAYS FROM THE DATE OF THIS ORDER. UPON REQUEST BY DEFTS THE COURT AS [*sic*] SUCH HRG MAY ORDER THE CONSIDERATION OF DEFTS MOT TO STAY BE RESUMED AND SET A DATE BY WHICH THE PARTIES SHALL PROVIDE THE COURT WITH THE MATERIALS THE COURT REQUESTED WITH RESPECT TO SUCH MOTION IN ITS ORDER DATED 9-20-04. S/HARRISON CM
	* * * * *
6/17/05	NOTICE THAT ORDER REMANDING THIS CASE TO THIS COURT HAS BEEN VACATED AND THAT PLTFS CLAIMS HAVE BEEN DISMISSED W/PREJUDICE W/EXHIBITS FILED BY DEF JANUS CAPITAL MANAGEMENT LLC
6/20/05	JUDGMENT/DISMISSED. SEE ORDER OF 6/20/05 CASE DISMISSED BY US DISTRICT COURT. REMAND ORDER VACATED. S/O, C/S S/HARRISON

Status: Dismissed Case Report: TERMINATED Jun 20, 2005

* * * * *

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 03-L-1255

CARL KIRCHER AND ROBERT BROCKWAY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

PUTNAM FUNDS TRUST, A BUSINESS TRUST,
PUTNAM INVESTMENT MANAGEMENT, LLC,
EVERGREEN INTERNATIONAL TRUST, A BUSINESS TRUST, AND
EVERGREEN INVESTMENT MANAGEMENT COMPANY, LLC,
Defendants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
9/16/03	CLASS ACTION (L) Fee $186.00 Amt $50000.01 Plaintiff KIRCHER CARL IND AND ON BEHALF Defendant PUTNAM FUNDS TRUST A BUSINESS
	* * * * *
10/23/03	NOTICE OF FILING NOTICE OF REMOVAL & NOTICE OF REMOVAL. FILED BY DEFS
10/23/03	JUDGMENT/REMOVED TO U.S. DISTRICT COURT Status: U.S. REMOVAL Report: TERMINATED Oct. 23, 2003

1/29/04	Case Reinstated – ORDER – THE COURT LACKS SUBJECT MATTER JURISDICTION THE COURT REMANDS THIS ACTION TO THE MADISON COUNTY ILLINOIS CIR COURT FURTHER THE COURT DENIES AS MOOT DEFTS MOTION TO STAY FILED BY [illegible] US SUPREME COURT Status: Open Case Report: REINSTATED Jan 29, 2004
	* * * * *
3/11/04	MOTION TO DISMISS, MEMORANDUM OF LAW W/ ATTACHMENTS FILED BY Defendant PUTNAM FUNDS TRUST A BUSINESS Defendant PUTNAM INVESTMENT MANAGEMENT L Judge: KARDIS PHILLIP Clerk: MD M
3/11/04	MOTION TO DISMISS COMPLAINT FOR FAILURE TO STATE A CLAIM AND FOR LACK OF STANDING & MEMORANDUM IN SUPPORT OF MOTION TO DISMISS COMPLAINT FOR FAILURE TO STATE A CLAIM AND FOR LACK OF STANDING FILED BY DEFS EVERGREEN INTERNATIONAL TRUST AND EVERGREEN INVESTMENT MANAGEMENT CO
	* * * * *
3/12/04	EXHIBITS TO MEMORANDUM OF LAW IN SUPPORT OF MOTION TO DISMISS FILED BY Defendant PUTNAM FUNDS TRUST A BUSINESS Defendant PUTNAM INVESTMENT MANAGEMENT L

3/12/04	APPENDIX OF NON-ILLINOIS AUTHORITIES IN SUPPORT OF MOTION TO DISMISS FILED BY Defendant PUTNAM FUNDS TRUST A BUSINESS Defendant PUTNAM INVESTMENT MANAGEMENT L
	* * * * *
3/29/04	MOTION TO DISMISS PLTFS COMPLAINT PURSUANT TO DOCTRINE OF FORUM NON CONVENIENS, MEMO OF LAW IN SUPPORT OF THE MOTION TO DISMISS W/EXHIBITS FILED BY DEFTS PUTNAM INVESTMENT MANAGEMENT LLC AND PUTNAM FUNDS TRUST
4/1/04	MEMO IN SUPPORT OF MOT OF EVERGREEN INTERNATL TRUST AND EVERGREEN INVESTMENT MGMT CO, LLC TO DISMISS ON GROUNDS OF FORUM NON CONVENIENS W/ EXH FILED BY DEFTS EVERGREEN INTL TRUST AND EVERGREEN INVESTMENT MGMT CO, LLC.
4/1/04	MOTION OF EVERGREEN INTL TRUST AND EVERGREEN INVESTMENT MGMT CO, LLC TO DISMISS ON GROUNDS OF FORUM NON CONVENIENS FILED BY EVERGREEN INTL TRUST AND EVERGREEN INVESTMENT MGMT CO, LLC.
	* * * * *
4/28/04	ORDER – IT IS HEREBY ORDERED AND ADJUDGED THAT THE PUTNAM DEFTS ARE GRANTED LEAVE TO SUBSTITUTE THE ORIGINAL AFFIDAVIT OF CHARLES E PORTER FOR

	THE COPY OF SAID AFFIDAVIT WHICH IS ATTACHED AS EXHIBIT 1 TO THE MEMORANDUM OF LAW IN SUPPORT OF PUTNAM DEFTS MOTION TO DISMISS PURS TO THE DOCTRINE OF FORUM NON CONENIENS [*sic*] S/MORAN C/S
	* * * * *
5/3/04	MEMORANDUM IN OPPOSITION TO DEFTS MOTION TO DISMISS AND IN THE ALT MOTION FOR LEAVE TO AMEND THE COMPLAINT AND TO CONDUCT DISCOVERY AND EXHIBITS FILED BY PLTFS
5/3/04	SUPPLEMENTAL MEMORANDUM IN SUPPORT OF MOTION OF EVERGREEN INTERNATIONAL TRUST AND EVERGREEN INVESTMENT MANAGEMENT COMPANY LLC TO DISMISS COMPLAINT FOR FAILURE TO STATE A CLAIM AND FOR LACK OF STANDING AND ATTACHMENT FILED BY DEFS EVERGREEN INTERNATIONAL TRUST AND EVERGREEN INVESTMENT MANAGEMENT COMPANY LLC
	* * * * *
5/5/04	ORDER – DOCKET ORDER – CASE CALLED FOR HEARING THIS DATE MAY 5, 2004 ALL PARTIES APPEAR COUNSEL ARGUE MOTION TO DISMISS COURT TAKES MOTION UNDER ADVISEMENT THESE PROCEEDINGS WERE TAKEN THIS DATE BY ALISA OLEARY COURT REPORTER S/MORAN

* * * * *

6/4/04	REPLY MEMO OF LAW IN FURTHER SUPPORT OF THE PUTNAM DEFTS MOT TO DISMISS, PROP ORDER, W/EXH FILED BY DEFTS PUTNAM MGMT, LLC AND PUTNAM FUNDS.
6/4/04	REPLY TO MEMO IN OPPOSITION TO MOTION TO DISMISS; AND OPPOSITION TO ALT MOT FOR LEAVE TO AMND THE COMPL AND TO CONDUCT DISCOVERY, PROPOSED ORDER FILED BY DEFT EVERGREEN INTL TRUST

* * * * *

6/25/04	ORDER-THIS COURT FINDS THAT PLTFS HAVE SUFFICIENTLY ALLEGED A DUTY THE BREACH OF SUCH A DUTY AND DAMAGES THIS COURT DENIES DEFTS MOTION TO DISMISS – SO ORDERED – SEE ORDER FOR FURTHER DETAILS S/MORAN C/S

* * * * *

9/9/04	ANSWER, AFFIRMATIVE DEFENSES, CERT. OF SERVICE AND JURY DEMAND FILED BY Defendant PUTNAM FUNDS TRUST A BUSINESS Defendant PUTNAM INVESTMENT MANAGEMENT L

* * * * *

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 03-L-1539

STEVE AND BETH DUDLEY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

PUTNAM INTERNATIONAL EQUITY FUND AND
PUTNAM INVESTMENT MANAGEMENT, LLC,
Defendants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
11/10/03	CLASS ACTION (L) Fee $186.00 Amt $50000.01 Plaintiff DUDLEY STEVE INDIVIDUALLY AND Defendant PUTNAM INTERNATIONAL EQUITY FU
	* * * * *
12/15/03	JUDGMENT/REMOVED TO U.S. DISTRICT COURT PURSUANT TO NOTICE OF REMOVAL BEING FILED THIS DATE BY DEFTS PUTNAM Status: U.S. REMOVAL Report: TERMINATED Dec. 15, 2003
	* * * * *

2/25/04	MEMORANDUM AND ORDER – CASE REMANDED TO MADISON CO FILED BY US DISTRICT COURT
2/26/04	Case Reinstated PER REMAND ORDER Status: Open Case Report: REINSTATED Feb 26, 2004
	* * * * *
3/11/04	MOTION TO DISMISS, MEMORANDUM OF LAW W/ATTACHMENTS AND JURY DEMAND FILED BY Defendant PUTNAM INTERNATIONAL EQUITY FU Defendant PUTNAM INVESTMENT MANAGEMENT L Judge: MORAN GEORGE J. Clerk: ND M
	* * * * *
3/12/04	EXHIBITS TO MEMORANDUM OF LAW IN SUPPORT OF MOTION TO DISMISS FILED BY Defendant PUTNAM INTERNATIONAL EQUITY FU Defendant PUTNAM INVESTMENT MANAGEMENT L
3/12/04	APPENDIX OF NON-ILLINOIS AUTHORITIES IN SUPPORT OF MOTION TO DISMISS FILED BY Defendant PUTNAM INTERNATIONAL EQUITY FU Defendant PUTNAM INVESTMENT MANAGEMENT L
	* * * * *
3/29/04	MOTION TO DISMISS PLTFS COMPLAINT PURSUANT TO DOCTRINE OF FORUM NON CONVENIENS & MEMO OF LAW IN SUPPORT OF THE MOTION W/EXHIBITS FILED BY DEFTS PUTNAM INVESTMENT MAN-

AGEMENT LLC AND PUTNAM INTERNATIONAL EQUITY FUND

* * * * *

4/21/04 MOTION FOR LEAVE TO SUBSTITUTE THE ORIGINAL AFFIDAVIT OF CHARLES E PORTER TO THE PUTNAME [*sic*] DEFS MEMORANDUM OF LAW IN SUPPORT OF MOTION TO DISMISS PURS TO THE DOCTRINE OF FORUM NON CONVENIENS W/ PROPOSED ORDER & PROPOSED AFFIDAVIT OF CHARLES PORTER FILED BY DEFS PUTNAM INVESTMENT MANAGEMENT & PUTNAM INTERNATIONAL EQUITY FUND

* * * * *

5/5/04 ORDER – DOCKET ORDER – CASE CALLED FOR HEARING THIS DATE MAY 5, 2004 ALL PARTIES APPEAR COUNSEL ARGUE MOTION TO DISMISS COURT TAKES MOTION UNDER ADVISEMENT THESE PROCEEDINGS WERE TAKEN THIS DATE BY ALISA OLEARY COURT REPORTER S/MORAN

* * * * *

6/4/04 REPLY MEMO OF LAW IN FURTHER SUPPORT OF THE PUTNAM DEFTS MOT TO DISMISS W/EXH, PROP ORDER FILED BY DEFTS.

* * * * *

6/25/04 ORDER – THIS COURT FINDS THAT PLTFS HAVE SUFFICIENTLY ALLEGED A DUTY THE BREACH OF SUCH A DUTY AND DAMAGES THIS

	COURT DENIES DEFTS MOTION TO DISMISS SO ORDERED – SEE ORDER FOR FURTHER DETAILS S/MORAN C/S
	* * * * *
9/9/04	ANSWER, AFFIRMATIVE DEFENSES, CERT. OF SERVICE AND JURY DEMAND FILED BY Defendant PUTNAM INTERNATIONAL EQUITY FU Defendant PUTNAM INVESTMENT MANAGEMENT L

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 03-L-1540

STEVE AND BETH DUDLEY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

PUTNAM INVESTMENT FUNDS AND
PUTNAM INVESTMENT MANAGEMENT, LLC,
Defendants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
11/10/03	CLASS ACTION (L) Fee $186.00 Amt $50000.01 Plaintiff DUDLEY STEVE INDIVIDUALLY AND Defendant PUTNAM INVESTMENT FUNDS
	* * * * *
12/15/03	JUDGMENT/REMOVED TO U.S. DISTRICT COURT PURSUANT TO NOTICE OF REMOVAL BEING FILED THIS DATE, FILED BY DEFTS PUTNAM Status: U.S. REMOVAL Report: TERMINATED Dec 15, 2003
	* * * * *

2/18/04	COPY OF ORDER OF JUDGE MURPHY FILED 1-27-04 REMANDING THIS CASE BACK TO CIRCUIT COURT FILED BY US DISTRICT COURT.
2/18/04	Case Reinstated Status: Open Case Report: REINSTATED Feb 18, 2004
	* * * * *
2/25/04	MEMORANDUM AND ORDER – CASE REMANDED TO MADISON CO FILED BY US DISTRICT COURT (COPY ONLY)
2/26/04	Case Reinstated PER REMAND ORDER Status: Open Case Report: REINSTATED Feb 26, 2004
	* * * * *
3/12/04	APPENDIX OF NON-ILLINOIS AUTHORITIES IN SUPPORT OF MOTION TO DISMISS FILED BY Defendant PUTNAM INVESTMENT FUNDS Defendant PUTNAM INVESTMENT MANAGEMENT L
	* * * * *
3/29/04	MOTION TO DISMISS PLTFS COMPLAINT PURSUANT TO DOCTRINE OF FORUM NON CONVENIENS & MEMO OF LAW IN SUPPORT OF MOTION W/ EXHIBITS FILED DEFTS PUTNAM INVESTMENT MANAGEMENT LLC AND PUTNAM INVESTMENT FUNDS
	* * * * *
4/21/04	MOTION FOR LEAVE TO SUBSTITUTE THE ORIGINAL AFFIDAVIT OF

CHARLES PORTER W/ PROP ORDER AND PROP AFFIDAVIT OF CHARLES PORTER FILED BY DEFTS PUTNAM INVESTMENT MNGT LLC AND PUTNAM INVESTMENT FUNDS

* * * * *

5/5/04 ORDER – DOCKET ORDER – CASE CALLED FOR HEARING THIS DATE MAY 5, 2004 ALL PARTIES APPEAR COUNSEL ARGUE MOTION TO DISMISS COURT TAKES MOTION UNDER ADVISEMENT THESE PROCEEDINGS WERE TAKEN THIS DATE BY ALISA OLEARY COURT REPORTER S/MORAN C/S

* * * * *

6/4/04 REPLY MEMO OF LAW IN FURTHER SUPPORT OF THE PUTNAM DEFTS MOT TO DISMISS W/PROP EXH, PROP ORDER FILED BY DEFTS PUTNAM INVESTMENT MANAGEMENT LLC AND PUTNAM INVESTMENT FUNDS.

6/25/04 ORDER – THIS COURT FINDS THAT PLTFS HAVE SUFFICIENTLY ALLEGED A DUTY THE BREACH OF SUCH A DUTY AND DAMAGES THIS COURT DENIES DEFTS MOTION TO DISMISS SO ORDERED – SEE ORDER FOR FURTHER DETAILS S/MORAN C/S

* * * * *

9/9/04 ANSWER, JURY DEMAND, CERT. OF SERVICE AND AFFIRMATIVE DEFENSES FILED BY Defendant PUTNAM INVESTMENT FUNDS Defendant

PUTNAM INVESTMENT MANAGEMENT L

* * * * *

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 03-L-1550

GARY VOGELER, INDIVIDUALLY AND
ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiff,

v.

COLUMBIA ACORN TRUST AND
COLUMBIA WANGER ASSET MANAGEMENT, LP,
Defendants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
11/13/03	CLASS ACTION (L) Fee $186.00 Amt $50000.01 Plaintiff VOGELER GARY INDIVIDUALLY AND Defendant COLUMBIA ACORN TRUST
	* * * * *
12/12/03	JUDGMENT/REMOVED TO U.S. DISTRICT COURT PER NOTICE OF REMOVAL BEING FILED THIS DATE. FILED BY DEFTS COLUMBIA ACORN & COLUMBIA WANGER Status: U.S. REMOVAL Report: TERMINATED Dec 12, 2003
	* * * * *
2/26/04	MEMORANDUM AND ORDER – CASE REMANDED TO MADISON CO FILED BY US DISTRICT COURT

2/26/04	Case Reinstated–PER REMAND ORDER
	Status: Open Case Report: REINSTATED Feb 26, 2004
	* * * * *
3/5/04	MOTION TO STRIKE AND DISMISS COUNTS I AND II OF THE COMPLAINT AND PROPOSED ORDER AND MEMO OF LAW IN SUPPORT FILED BY DEFT COLUMBIA ACORN TRUST
	* * * * *
3/12/04	Motion CH, D, ED, F, L, MR TO TRANSFER VENUE, MEMORANDUM IN SUPPORT Fee $86.00 OF MOTION TO TRANSFER VENUE, MEMORANDUM OF LAW IN SUPPORT OF OF [*sic*] DEF'TS MOTION TO DISMISS, MOTION TO DISMISS, MEMORANDUM OF LAW IN SUPPORT OF COLUMBIAN [*sic*] WANGER ASSET MANAGEMENT MOTION TO DISMISS AND MOTION TO DISMISS FILED BY DEFENDANTS COLUMBIA WANGER ASSET MANAGEMENT AND COLUMBIA ACORN TRUST Judge: MORAN GEORGE J. Clerk:SEF M
	* * * * *
8/3/04	MEMORANDUM IN OPPOSITION TO DEF'T MOTIONS TO DISMISS FILED BY Plaintiff VOGELER GARY INDIVIDUALLY AND
8/5/04	AMENDED MEMO IN OPPOSITION TO DEF'S MOTIONS TO DISMISS FILED BY Plaintiff VOGELER GARY INDIVIDUALLY AND
	* * * * *

8/11/04	REPLY MEMORANDUM IN SUPPORT OF DEFT COLUMBIA ACORN TRUST'S MOTION PURSUANT TO IL CODE OF CIVIL PROCEDURE 5/2-615 TO STRIKE AND DISMISS THE COMPLAINT. FILED BY DEFT COLUMBIA ACORN TRUST
8/11/04	ORDER – DEFTS MOTION TO STAY ALL PROCEEDINGS IS DENIED. DEFTS MOTIONS TO DISMISS ARGUED AND BRIEFED. DEFTS MOTIONS BASED ON PRE-EMPTION ARE TAKEN UNDER ADVISEMENT. ALL OTHER BASES OF DEFTS MOTIONS TO DISMISS ARE DENIED. S/KARDIS C/G
	* * * * *
8/13/04	JOINDER IN COLUMBIA ACORN TRUST'S REPLY IN SUPPORT OF ITS SECTION 2-615 MOTION TO DISMISS COMPLAINT. FILED BY COLUMBIA WANGER ASSET MANAGEMENT
	* * * * *
9/10/04	NOTICE OF INTERLOCUTORY APPEAL FILED BY Defendant COLUMBIA ACORN TRUST Defendant COLUMBIA WANGER ASSET MANAGEME
	* * * * *
11/10/04	MANDATE OF APPELLATE COURT RE: APPEAL DISMISSED
	* * * * *
2/3/05	ORDER – DEFT. COLUMBIA WANGER ASSET MANAGMENT'S [*sic*] MOTOIN [*sic*] TO DISMISS BASED UPON

CLAIMS OF PREEMPTION ARE DENIED. S/KARDIS C/G

2/10/05 ORDER – BY AGREEMENT, ALL PROCEEDINGS IN THIS STATE COURT CASE, INCLUDING THE FILING OF A PLEADING RESPONSIVE TO THE COMPLAINT, WILL BE SUSPENDED FOR A PERIOD OF 120 DAYS. UPON THE CONCLUSION OF THIS 120 DAY PERIOD, THE COURT WILL SCHEDULE A STATUS CONFERENCE, THE PURPOSE OF WHICH WILL BE TO ADVISE THE COURT AS TO TH [*sic*] STATUS OF THE LITIGATION, ANY OTHER PROCEEDINGS RELATED TO IT, AND THE PARTIES' INTENTIONS WITH RESPECT TO THE PROCEEDING. S/KARDIS C/G

* * * * *

6/13/05 APPELLATE COURT ORDER OF MAY 27, 2005 – THE COURT VACATES THE FEBRUARY 12, 2004 REMAND ORDER AND DISMISSES WITH PREJUDICE PLNTFS STATE LAW CLAIMS IN ACCORD WITH THE SEVENTH CIRCUIT'S MANDATE. FURTHER, THE COURT STRIKES PLNTFS AMENDED COMPLAINT AND DENIES AS MOOT PLNTFS MOTION FOR LEAVE TO AMEND THE COMPLAINT. S/ HERNDON

6/13/05 JUDGMENT/DISMISSED

Status: Dismissed Case Report: TERMINATED Jun 13, 2005

6/21/05 NOTICE THAT ORDER REMANDING THIS CASE TO THIS COURT HAS

BEEN VACATED & THAT PLNTS CLAIMS HAVE BEEN DISMISSED W/PREJ FILED BY COLUMBIA ACORN TRUST

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 03-L-2036

AVERY JACKSON, INDIVIDUALLY AND
ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiffs,

v.

VAN KAMPEN SERIES FUND, INC. AND
VAN KAMPEN INVESTMENT ADVISORY CORP.,
Defendants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
12/18/03	CLASS ACTION (L) Fee $186.00 Amt $50000.01 Plaintiff JACKSON AVERY INDIVIDUALLY AND Defendant VAN KAMPEN SERIES FUND INC
	* * * * *
1/22/04	JUDGMENT/REMOVED TO U.S. DISTRICT COURT – NOTICE OF FILING NOTICE OF REMOVAL FILED BY DEFTS Status: U.S. REMOVAL Report: TERMINATED Jan 22, 2004
4/14/04	ORDER OF US DISTRICT COURT 4/1/04: THE COURT GRANTS PLTF'S MOTION TO REMAND. (DOC. 13). BECAUSE THE COURT LACKS SUBJECT

MATTER JURISDICTION THE COURT REMANDS THIS ACTION TO THE CIRCUIT COURT OF MADISON COUNTY IL. THE COURT DENIES AS MOOT PLTF'S MOTION TO ACCELERATE BRIEFING & HEARING ON MOTION TO REMAND FOR LACK OF SUBJECT MATTER JURISDICTION (DOC. 18). IT IS SO ORDERED. S/US JUDGEM HERNDON

4/14/04 Case Reinstated: SEE 4/1/04 ORDER OF REMAND

Status: Open Case Report: REINSTATED Apr 14, 2004

* * * * *

5/17/04 Motion CH, D, ED, F, L, MR (MOTION TO DISMISS) MEMO OF LAW, Fee $86.00 FILED BY Defendant VAN KAMPEN SERIES FUND INC Defendant VAN KAMPEN INVESTMENT ADVISORY Judge: BYRON NICHOLAS G. Clerk:MS M

* * * * *

6/25/04 MEMO IN OPPOSITION TO DEFTS' MOTION TO DISMISS AND IN THE ALTERNATIVE MOTION FOR LEAVE TO AMEND THE COMPLAINT AND TO CONDUCT DISC W/EXHIBITS FILED BY PLTF

* * * * *

7/30/04 REPLY IN SUPPORT OF THEIR MOT TO DISMISS FILED BY Defendant VAN KAMPEN SERIES FUND INC

* * * * *

8/10/04 ORDER THIS CAUSE COMING ON FOR HEARING ON DEFTS' MOTION FOR STAY OF PROCEEDINGS AND DEFTS' MOTION TO DISMISS AND, AFTER ARGUEMENT [*sic*] OF COUNSEL THE COURT DENIES SAID MOTIONS. PLTF IS GRANTED LEAVE TO FILE TRIAL COURT ORDERS IN OTHER CASES AS GROUP EXHIBIT #1. S/BYRON C/S TKC

* * * * *

9/28/04 COPY OF ORDER FROM U.S. COURT OF APPEALS ISSUING A STAY OF DISCOVERY IN THIS CASE PENDING OUTCOME OF DEFTS APPEAL. S/DAVID R HERNDON US COURT OF APPEALS JUDGE

* * * * *

10/8/04 ORDER THE CAUSE COMING BEFORE THE COURT ON PLTF'S MOTION TO COMPEL. THE COURT HEREBY CONTINUES HEARING ON SAID MOTION GENERALLY, PURSUANT TO A STAY OF DISC ORDERED BY THE UNITED STATES COURT OF APPEALS FOR THE 7TH CIRCUIT. HEARING TO BE RESET BY REQUEST AT THE APPROPRIATE TIME. S/BYRON C/S TKC

* * * * *

5/31/05 COPY FROM US DISTRICT COURT – THE COURT VACATES THE 04/01/04 REMAND ORDER AND DISMISSES WITH PREJ PLTFS STATE LAW CLAIMS IN ACCORD WITH THE SEVENTH CIRCUITS MANDATE FUR-

THER THE COURT STRIKES PLTFS AMENDED COMPLAINT AND DENIES AS MOOT PLTFS MOTION FOR LEAVE TO AMEND THE COMPLAINT FILED BY U S DISTRICT COURT – JUDGE DAVID HERNDON

IN THE CIRCUIT COURT
THIRD JUDICIAL CIRCUIT
MADISON COUNTY, ILLINOIS

Cause No. 04-L-241

TERRY SPURGEON, AS CUSTODIAN FOR THE BENEFIT OF JAMES E. SPURGEON, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiff,

v.

PACIFIC LIFE INSURANCE COMPANY,
Defendant.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/12/04	CLASS ACTION (L) Fee $186.00 Amt $50000.01 Plaintiff SPURGEON TERRY AS CUSTODIAN FO Defendant PACIFIC LIFE INSURANCE COMPANY
	* * * * *
5/21/04	NOTICE OF REMOVAL FILED BY Defendant PACIFIC LIFE INSURANCE COMPANY
5/21/04	ACKNOWLEDGEMENT OF FILING OF NOT OF REMOVAL W/CLERK OF STATE COURT FILED BY Defendant PACIFIC LIFE INSURANCE COMPANY
5/21/04	JUDGMENT/REMOVED TO U.S. DISTRICT COURT

Status: U.S. REMOVAL Report: TERMINATED May 21, 2004

* * * * *

6/30/04 ORDER FROM UNITED STATES DISTRICT COURT RE: CAUSE REMANDED BACT [*sic*] TO MADISON COUNTY S/REAGAN

6/30/04 Case Reinstated (SEE ORDER THIS DATE FROM DISTRICT COURT)

Status: Open Case Report: REINSTATED Jun 30, 2004

7/1/04 Motion CH, D, ED, F, L, MR TO DISMISS PLTFS COMPLAINT, MEMORANDUM OF LAW Fee $86.00 IN SUPPORT OF DEFS MOTION TO DISMISS PLTFS COMPLAINT & AFFIDAVIT OF NANCY KNOLLE IN SUPPORT OF DEFS MOTION TO DISMISS W/EXHIBITS FILED BY DEF PACIFIC LIFE INSURANCE CO Judge: BYRON NICHOLAS G. Clerk:SP M

* * * * *

9/1/04 MOTION FOR LEAVE TO AMEND, PROPOSED ORDER, PROPOSED FIRST AMENDED COMPLAINT & MEMO IN OPPOSITION TO DEFTS MOTION TO DISMISS W/EXHIBITS FILED BY PLTF

9/8/04 ANSWER TO PLTF'S COMPLAINT FILED BY Defendant PACIFIC LIFE INSURANCE COMPANY

9/8/04 NOTICE OF ITS WITHDRAWAL OF ITS MOTION TO DISMISS FILED BY Defendant PACIFIC LIFE INSURANCE COMPANY

* * * * *

10/8/04	ORDER PLTF'S MOTION FOR LEAVE TO FILE FIRST AMENDED COMPLAINT PRESENTED, CONSIDERED AND ALLOWED. DEFT IS HEREBY GRANTED 30 DAYS TO FILE ITS RESPONSIVE PLEADING TO PLTF'S FIRST AMENDED COMPLAINT.
11/3/04	NOTICE OF STAY OF ORDER OF REMAND FILED BY Defendant PACIFIC LIFE INSURANCE COMPANY

* * * * *

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

Civil No. 03-CV-673-DRH

T.K. PARTHASARATHY, ET AL.,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

T. ROWE PRICE INTERNATIONAL FUNDS, INC., ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
10/16/03	1	NOTICE OF REMOVAL from Madison County State Court; Case Number: 03-L-1253 (trb) (Entered: 10/21/2003)
10/16/03	2	FIRST AMENDED COMPLAINT (copy of) Filing fee pd $150.00 receipt #300 92604 (trb) (Entered: 10/21/2003)
10/16/03	3	NOTICE by defendants T. Rowe Price Intl. Funds, T. Rowe Price Intl. Inc., Aim Intl. Funds, Inc. and Aim Advisors, Inc. of filing removal notice (trb) Modified on 10/23/2003 (Entered: 10/21/2003)
		* * * * *
10/21/03		LETTER advising all parties of removal and case number assigned (trb) (Entered: 10/21/2003)

* * * * *

10/21/03	12	ANSWER with Affirmative Defenses by Defendants T Rowe Price Funds, T Rowe Price Intl to the First Amended Complaint [2-1] (js) (Entered: 10/28/2003)
10/21/03	13	ANSWER with Affirmative Defenses by defendants Aim Intl Funds Inc, Aim Advisors Inc to the First Amended Complaint [2-1] (js) (Entered: 10/28/2003)

* * * * *

10/23/03	16	CONSENT TO REMOVAL by defendant Artisan Funds Inc, defendant Artisan Partners Ltd (myz) (Entered: 10/28/2003)

* * * * *

11/20/03	34	MOTION by plaintiffs' T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith to remand for lack of subject matter jurisdiction (tjh) (Entered: 11/21/2003)
11/20/03	35	MEMORANDUM by plaintiffs' T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith in support of motion to remand for lack of subject matter jurisdiction [34-1] (tjh) (Entered: 11/21/2003)

* * * * *

11/24/03	39	MOTION by defendant Artisan Funds Inc, defendant Artisan Partners Ltd to dismiss (tjh) (Entered: 12/03/2003)
11/24/03	40	MEMORANDUM by defendants' Artisan Funds Inc, Artisan Partners Ltd in support of motion to dismiss [39-1] (tjh) (Entered: 12/03/2003)

* * * * *

12/22/03	50	MEMORANDUM by Artisan Funds Inc, Artisan Partners Ltd in opposition to motion to remand for lack of subject matter jurisdiction [34-1] (tjh) (Entered: 12/30/2003)
12/23/03	51	JOINT MEMORANDUM by defendants T Rowe Price Funds, T Rowe Price Intl, Aim Intl Funds Inc, Aim Advisors Inc in opposition to motion to remand for lack of subject matter jurisdiction [34-1] (js) (Entered: 12/31/2003)
1/5/04	53	NOTICE (MOTION) by defendant Artisan Funds Inc, defendant Artisan Partners Ltd to substitute memorandum in opposition (tjh) (Entered: 01/09/2004)

* * * * *

1/12/04	57	ORDER by Judge David R. Herndon granting motion to substitute memorandum in opposition [53-1] (cc: all counsel) (tjh) (Entered: 01/15/2004)
1/12/04	58	MEMORANDUM by defendant Artisan Funds Inc, defendant Artisan Partners Ltd in opposition to motion to remand for lack of subject matter jurisdiction [34-1] (tjh) (Entered: 01/15/2004)

* * * * *

1/20/04	59	REPLY to Response to Motion re [34] Motion to Remand filed by T K Parthasarathy. (Barash, Eugene) (Entered: 01/20/2004)
1/20/04	60	Second MOTION to Amend/Correct 2 Complaint by T K Parthasarathy. (Barash, Eugene) (Entered: 01/20/2004)

* * * * *

1/23/04	63	ORDER denying 60 Motion to Amend/ Correct complaint. Signed by Judge Clifford J. Proud on 1/23/04. (amv,) (Entered: 01/23/2004)

* * * * *

1/23/04	65	MOTION to Strike *"Second Amended Compalint" [sic]*: Rule 15 FRCP by Aim Advisors Inc, Aim International Funds Inc, T Rowe Price International Funds Inc, T Rowe Price International Inc. Responses due by 2/23/2004 (Gundlach, Frank) (Entered: 01/23/2004)
1/23/04	66	MEMORANDUM IN SUPPORT OF 2255 PETITION 65 Motion to Strike by Aim Advisors Inc, Aim International Funds Inc, T Rowe Price International Funds Inc, T Rowe Price International Inc *(Memorandum In Support of Motion of T. Rowe Price and AIM Defendants to Strike Plaintiffs' "Second Amended Complaint").* (Gundlach, Frank) (Entered: 01/23/2004)
1/23/04	67	NOTICE by T K Parthasarathy re [34] Motion to Remand (Attachments: # 1 Exhibit January 23rd Order) (Barash, Eugene) (Entered: 01/23/2004)
1/28/04	68	ORDER denying as moot 65 Motion to Strike. Signed by Judge Clifford J. Proud on 1/27/04. (amv,) (Entered: 01/28/2004)

* * * * *

1/28/04	70	RESPONSE to 67 Notice (Other) *by T.K. Parthasarathy re: Motion to Remand* filed by Artisan Funds Inc, Artisan Partners Limited Partnership. (Wells, Regina) (Entered: 01/28/2004)

1/30/04	71	ORDER OF REMAND: Case remanded to Madison County, Illinois Circuit Court. Signed by Judge David R Herndon on 1/30/04. (mlm) (Entered: 01/30/2004)
2/2/04	72	Letter of remand to Third Judicial Circuit, Madison County, IL (dmw) (Entered: 02/02/2004)
3/1/04	73	NOTICE OF APPEAL by Artisan Funds Inc. (Shultz, Robert) (Entered: 03/01/2004)

* * * * *

3/22/04	78	USCA Case Number 04-1628 for 73 Notice of Appeal filed by Artisan Funds Inc. (dmw) (Entered: 03/22/2004)

* * * * *

5/11/05	87	MANDATE of USCA as to 73 Notice of Appeal filed by Artisan Funds Inc; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

* * * * *

5/13/05	83	NOTICE by T K Parthasarathy *of Filing of Amended Complaint* (King, Robert) (Entered: 05/13/2005)
5/13/05	84	AMENDED COMPLAINT against Artisan Funds Inc, Artisan Partners Limited Partnership, filed by T K Parthasarathy. (King, Robert) (Entered: 05/13/2005)
5/13/05	85	First MOTION to Amend/Correct by T K Parthasarathy. (King, Robert) (Entered: 05/13/2005)

5/13/05	86	MEMORANDUM in Support re 85 First MOTION to Amend/Correct *in the Alternative to Notice of Filing of Amended Complaint as of Right* filed by T K Parthasarathy. (King, Robert) (Entered: 05/13/2005)
5/27/05	88	ORDER DISMISSING CASE. The Court vacates the 1/30/2004 remand Order 71 and dismisses with prejudice Plaintiffs' state law claims in accord with the Seventh Circuit's mandate 87. Further, the Court strikes 84 Plaintiffs' amended complaint and denies as moot Plaintiffs' 85 motion for leave to amend the complaint. See Order for specifics. Signed by Judge David R Herndon on 5/27/2005. (mlm) (Entered: 05/27/2005)

* * * * *

6/10/05	90	MOTION to Alter Judgment by T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith. (King, Robert) (Entered: 06/10/2005)
6/10/05	91	MEMORANDUM in Support re 90 MOTION to Alter Judgment filed by T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith. (King, Robert) (Entered: 06/10/2005)
6/23/05	92	MEMORANDUM in Opposition re 90 MOTION to Alter Judgment filed by Aim Advisors Inc, T Rowe Price International Funds Inc, T Rowe Price International Inc, Aim International Funds Inc. (Wood, Lisa) (Entered: 06/23/2005)
7/1/05	93	REPLY to Response to Motion re 90 MOTION to Alter Judgment filed by T K Parthasarathy, Edmund Woodbury,

Stuart Allen Smith, Sharon Smith. (King, Robert) (Entered: 07/01/2005)

7/7/05 94 ORDER denying 90 Motion to Alter Judgment. Signed by Judge David R Herndon on 7/7/2005. (mlm) Modified on 7/8/2005 (mlm). (Entered: 07/08/2005)

8/10/05 95 MOTION for Extension of Time *to file notice of appeal* by T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith. (King, Robert) (Entered: 08/10/2005)

8/11/05 96 MEMORANDUM in Support re 95 MOTION for Extension of Time *to file notice of appeal* filed by T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith. (Attachments: # 1 Affidavit in Support of Motion)(King, Robert) (Entered: 08/11/2005)

8/12/05 97 MEMORANDUM in Opposition re 95 MOTION for Extension of Time *to file notice of appeal* filed by Aim Advisors Inc, T Rowe Price International Funds Inc, T Rowe Price International Inc, Aim International Funds Inc. (Wood, Lisa) (Entered: 08/12/2005)

8/16/05 98 RESPONSE in Opposition re 95 MOTION for Extension of Time *to file notice of appeal* filed by Artisan Funds Inc, Artisan Partners Limited Partnership. (Attachments: # 1 Exhibit A) (Bozarth, Troy) (Entered: 08/16/2005)

8/17/05 99 REPLY to Response to Motion re 95 MOTION for Extension of Time *to file notice of appeal* filed by T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith. (Attachments: # 1 Exhibit

1 (Second Supplemental Declaration of Counsel)# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6) (King, Robert) (Entered: 08/17/2005)

8/25/05 100 ORDER GRANTING Plaintiffs' Motion for Extension of Time to File Notice of Appeal 95. Plaintiffs shall have up and until Friday, September 2, 2005, to file their notice of appeal. Signed by Judge David R Herndon on 08/25/05. (seg) (Entered: 08/25/2005)

* * * * *

8/27/05 101 TERMINATED PER 103 - NOTICE OF APPEAL of 94 order by T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith. (King, Robert) Modified on 8/29/2005 (tjh). (Entered: 08/27/2005)

8/27/05 102 AMENDED NOTICE OF APPEAL 101 of 88 order dismissing case and 94 order denying reconsideration by T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith, Sharon Smith. Filing fee $ 255 due. (King, Robert) Modified on 8/29/2005 (tjh). (Entered: 08/27/2005)

8/29/05 103 NOTICE OF ERRORS AND/OR DEFICIENCIES re 101 Notice of Appeal and 102 Amended Notice of Appeal filed by Sharon Smith, T K Parthasarathy, Edmund Woodbury, Stuart Allen Smith. See attached document for specifics (tjh) (Entered: 08/29/2005)

* * * * *

9/6/05 106 USCA Case Number 05-3548 for 102 Notice of Appeal filed by Sharon Smith, T K Parthasarathy, Edmund Woodbury,

Stuart Allen Smith. (tjh) (Entered: 09/08/2005)

* * * * *

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 03-CV-691-DRH

CARL KIRCHER AND ROBERT BROCKWAY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

PUTNAM FUNDS TRUST, ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
10/23/03	1	NOTICE OF REMOVAL from Madison County State Court; Case Number: 03-L-1255 (trb) (Entered: 10/30/2003)
10/23/03	2	COMPLAINT (copy of) Filing fee pd $150.00 receipt # 300 92791 receipt #300 30092791 (trb) (Entered: 10/30/2003)
		* * * * *
10/23/03	4	NOTICE of filing notice of removal by defendant Putnam Funds Trust, defendant Putnam Investment, defendant Evergreen Intl Trust, defendant Evergreen Invst Mgt (trb) (Entered: 11/03/2003)
		* * * * *

11/3/03		LETTER advising all parties of removal and case number assigned (trb) (Entered: 11/4/2003)
		* * * * *
11/21/03	15	MOTION by defendants Putnam Funds Trust and Putnam Investment to stay proceedings pending transfer determination by Judicial Panel on Multidistrict Litigation (dmw) (Entered: 12/01/2003)
11/21/03	16	MEMORANDUM by defendants Putnam Funds Trust and Putnam Investment in support of motion to stay proceedings transfer determination by Judicial Panel on Multidistrict Litigation [15-1] (dmw) Modified on 12/09/2003 (Entered: 12/01/2003)
		* * * * *
12/9/03	19	MOTION by plaintiffs to remand for lack of subject matter jurisdiction (dmw) (Entered: 12/18/2003)
12/9/03	20	MEMORANDUM by plaintiffs in support of motion to remand for lack of subject matter jurisdiction [19-1] (dmw) (Entered: 12/18/2003)
		* * * * *
12/10/03	21	MOTION by plaintiffs to accelerate briefing (to expedite), and for hearing on motion to remand for lack of subject matter jurisdiction (dmw) (Entered: 12/19/2003)
12/10/03	22	MEMORANDUM by plaintiffs in support of motion to accelerate briefing [21-1] and for hearing on motion to remand for lack of subject matter jurisdiction [21-2] (dmw) (Entered: 12/19/2003)

* * * * *

12/12/03 25 BRIEF (MEMORANDUM) by plaintiffs in support of opposition to motion of Putnam defendants for transfer or consolidation pursuant to 28:1407 (dmw) (Entered: 12/22/2003)

12/18/03 26 CORRECTED MOTION by plaintiffs to remand for lack of subject matter jurisdiction (dmw) (Entered: 12/24/2003)

* * * * *

12/23/03 32 OPPOSITION by defendants to motion to accelerate briefing [21-1] and for hearing on motion to remand for lack of subject matter jurisdiction [21-2] (dmw) (Entered: 12/31/2003)

* * * * *

12/23/03 34 ORDER by Judge David R. Herndon granting motion to accelerate briefing [21-1] and denying request for hearing on motion to remand [21-2], motion to remand [19-1] resp due 1/5/04 and reply due 1/9/04 (cc: all counsel) (dmw) (Entered: 12/31/2003)

* * * * *

1/5/04 39 ORDER by Judge Michael J. Reagan vacating 12/23/03 order [34-1], motion to remand [19-1] & [26-1] resp due 1/17/04 (cc: all counsel) (dmw) (Entered: 01/09/2004)

* * * * *

1/8/04 40 MEMORANDUM by Putnam Funds Trust, Putnam Investment in opposition to plaintiffs' motion to remand for lack of

subject matter jurisdiction [19-1], and [26-1] (js) (Entered: 01/14/2004)

* * * * *

1/23/04	50	NOTICE by Carl Kircher re [19] Motion to Remand (Attachments: # 1 Exhibit January 23rd order) (Barash, Eugene) (Entered: 01/23/2004)
1/27/04	51	ORDER OF REMAND: Case remanded to Madison County, Illinois Circuit Court. GRANTING Motion to Remand filed by Carl Kircher, Robert Brockway (Docs. 19 & 26), DENYING AS MOOT Motion to Stay filed by Putnam Investment Management LLC, Putnam Funds Trust (Doc. 15). Signed by Judge David R Herndon on 1/27/04. (klh) (Entered: 01/27/2004)
1/28/04	52	Letter of remand to Circuit Clerk of Madison County (dmw) (Entered: 01/28/2004)
2/26/04	53	NOTICE OF APPEAL as to 51 Order of Remand,, Terminate Motions, by Putnam Funds Trust, Putnam Investment Management LLC. (Jackson, Rebecca) (Entered: 02/26/2004)

* * * * *

3/4/04	54	USCA Case Number 04-1495 for 53 Notice of Appeal filed by Putnam Investment Management LLC, Putnam Funds Trust. (dmw) (Entered: 03/04/2004)

* * * * *

5/11/05	65	MANDATE of USCA as to 53 Notice of Appeal filed by Putnam Investment Management LLC, Putnam Funds Trust; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to undo

the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

* * * * *

5/13/05	61	NOTICE by Carl Kircher *of Filing of Amended Complaint* (King, Robert) (Entered: 05/13/2005)
5/13/05	62	ENTRY STRICKEN PER 66 5/27/05 ORDER - AMENDED COMPLAINT against Putnam Funds Trust, Putnam Investment Management LLC, filed by Carl Kircher. (King, Robert) Modified on 6/2/2005 (tjh). (Entered: 05/13/2005)
5/13/05	63	First MOTION to Amend/Correct 2 Complaint *In the Alternative to Notice of Filing of Amended Complaint* by Carl Kircher. (King, Robert) (Entered: 05/13/2005)
5/13/05	64	MEMORANDUM in Support re 63 First MOTION to Amend/Correct 2 Complaint *In the Alternative to Notice of Filing of Amended Complaint* filed by Carl Kircher. (King, Robert) (Entered: 05/13/2005)
5/27/05	66	ORDER DISMISSING CASE with prejudice, STRIKING AMENDED COMPLAINT and RENDERING MOOT motion for leave to file amended complaint per the Seventh Circuit's Mandate in Kircher v. Putnam Funds Trust, 403 F.3d 478, 484 (7th Cir. 2005). Signed by Judge David R Herndon on 5/27/05. (klh) (Entered: 05/27/2005)

* * * * *

6/10/05	68	MOTION to Alter Judgment by Carl Kircher, Robert Brockway. (King, Robert) (Entered: 06/10/2005)
6/10/05	69	MEMORANDUM in Support re 68 MOTION to Alter Judgment filed by Carl Kircher, Robert Brockway. (King, Robert) (Entered: 06/10/2005)
6/24/05	70	MEMORANDUM in Opposition re 68 MOTION to Alter Judgment filed by Evergreen International Trust, Evergreen Investment Management Co LLC. (Jackson, Rebecca) (Entered: 06/24/2005)
7/1/05	71	REPLY to Response to Motion re 68 MOTION to Alter Judgment filed by Carl Kircher, Robert Brockway. (King, Robert) (Entered: 07/01/2005)
7/11/05	72	ORDER denying 68 Motion to Alter Judgment. Signed by Judge David R Herndon on 7/7/05. (klh) (Entered: 07/11/2005)
8/10/05	74	NOTICE OF APPEAL by Carl Kircher, Robert Brockway. (King, Robert) Text modified on 8/11/2005 (car). (Entered: 08/10/2005)

* * * * *

8/18/05	79	USCA Case Number 05-3389 for 74 Notice of Appeal filed by Carl Kircher, Robert Brockway. (dmw) (Entered: 08/18/2005)

* * * * *

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 03-CV-692-DRH

ROBERT POTTER, ET AL.,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

JANUS INVESTMENT FUND, ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
10/23/03	1	NOTICE OF REMOVAL from Madison County State Court Case Number: 03-L-1254 (jmp) Modified on 10/24/2003 (Entered: 10/24/2003)
10/23/03	2	COMPLAINT (copy of) Filing fee pd $150.00 receipt # 300 92833 (jmp) Modified on 10/24/2003 (Entered: 10/24/2003)
		* * * * *
10/24/03		LETTER to all attorneys of record advising of removal and case number (jmp) (Entered: 10/24/2003)
		* * * * *
10/30/03	9	JOINDER by defendant Janus Investment Fd in Janus motion to stay proceed-

ings pending transfer decision by Judicial Panel on Multidistrict Litigation (no such motion found) AND MOTION for enlargement of time to respond to the complaint (dmw) Modified on 11/06/2003 (Entered: 11/06/2003)

* * * * *

12/9/03	26	MOTION by plaintiffs to remand for lack of subject matter jurisdiction (dmw) (Entered: 12/18/2003)
12/9/03	27	MEMORANDUM by plaintiffs in support of motion to remand for lack of subject matter jurisdiction [26-1] (dmw) (Entered: 12/18/2003)
12/9/03	28	MOTION by plaintiffs to accelerate briefing (to expedite), and for hearing on motion to remand for lack of subject matter jurisdiction (dmw) (Entered: 12/18/2003)
12/9/03	29	MEMORANDUM by plaintiffs in support of motion to accelerate briefing [28-1] and for hearing on motion to remand for lack of subject matter jurisdiction [28-2] (dmw) (Entered: 12/18/2003)
12/18/03	30	MEMORANDUM AND ORDER by Judge David R. Herndon Case consolidated 3:03-cv-692 with member case 3:03-cv-693 (cc: all counsel) (dmw) (Entered: 12/29/2003)
12/18/03	31	MEMORANDUM AND ORDER by Judge David R. Herndon denying motion to stay [9-1] in 03-CV-693-DRH, granting motions for enlargement of time to respond to complaint [19-1], [20-1], [23-1] in 3:03-cv-00692 and [12-1] in 3:03-cv-00693, denying as moot motion to consolidate cases [23-2] in 3:03-cv-00692, granting motion

for extension of time to respond to Scudder defendants' motions to sever and transfer [25-1] in 3:03-cv-00692 – Court extends plaintiffs' time to respond until 10 days after date of order ruling on motion to remand (cc: all counsel) (dmw) (Entered: 12/29/2003)

* * * * *

1/8/04	42	OPPOSITION by defendants Deutsche Inv Mgmt and Scudder Intl Fund to motion to remand for lack of subject matter jurisdiction [26-1] (dmw) (Entered: 01/14/2004)
1/8/04	43	MEMORANDUM by defendants Janus Investment Fd, Janus Capital Mgmt in opposition to motion to remand for lack of subject matter jurisdiction [26-1] (js) (Entered: 01/15/2004)

* * * * *

1/23/04	46	NOTICE by Dorothy Luettinger re [26] Motion to Remand (Attachments: # 1 Exhibit January 23rd order) (Barash, Eugene) (Entered: 01/23/2004)
1/27/04	47	REPLY to Response to Motion re [26] Motion to Remand *Response to Janus Defendants' Memorandum in Opposition* filed by Robert Potter. (Barash, Eugene) (Entered: 01/27/2004)
1/27/04	48	REPLY to Response to Motion re [26] Motion to Remand *Reply to Scudder's memorandum in opposition to remand* filed by Robert Potter. (Barash, Eugene) (Entered: 01/27/2004)

* * * * *

1/28/04	49	REPLY to Response to Motion re [26] Motion to Remand *Janus Defendants' Reply to Plaintiffs' Citation of Supplemental Authority in Support of Plaintiffs' Motion to Remand* filed by Janus Capital Management LLC, Janus Investment Fund. (Broom, Gordon) (Entered: 01/28/2004)

* * * * *

1/29/04	52	RESPONSE to Motion re [26] Motion to Remand *Scudder Defendants' Response to Plaintiffs' Citation of Supplemental Authority in Support of Plaintiffs' Motion to Remand* filed by Deutsche Investment Management Americas Inc, Scudder International Fund Inc. (Hunsaker, Richard) (Entered: 01/29/2004)
2/2/04	54	MOTION to Dismiss *Pursuant to Fed. R.Civ.P. 12(b)(6)* by Janus Capital Management LLC, Janus Investment Fund. Responses due by 3/3/2004 (Meadows, Gary) (Entered: 02/02/2004)
2/2/04	55	MEMORANDUM in Support re 54 MOTION to Dismiss *Pursuant to Fed. R.Civ.P. 12(b)(6)* filed by Janus Capital Management LLC, Janus Investment Fund. (Attachments: # 1 Appendix to Memorandum in Support of the Janus Defendants' Motion to Dismiss) (Meadows, Gary) (Entered: 02/02/2004)
2/2/04	56	MOTION to Dismiss *Complaint* by Deutsche Investment Management Americas Inc, Scudder International Fund Inc. Responses due by 3/3/2004 (Hunsaker, Richard) Modified on 2/3/2004 (dmw). (Entered: 02/02/2004)

2/2/04	57	MEMORANDUM in Support re 56 MOTION to Dismiss *Complaint* filed by Deutsche Investment Management Americas Inc, Scudder International Fund Inc. (Hunsaker, Richard) (Entered: 02/02/2004)
2/9/04	58	ORDER OF REMAND: Case remanded to Circuit Court of Madison County, Illinois. Granting [26] Motion to Remand filed by Dorothy Luettinger, Robert Potter. All other pending motions are Denied as moot. Signed by Judge David R Herndon on 02/09/2004. (mbf) (Entered: 02/09/2004)
2/10/04	59	Letter to Madison County Clerk advising of remand (dmw) (Entered: 02/10/2004)
3/10/04	60	NOTICE OF APPEAL as to 58 Order of Remand, Terminate Motions by Janus Capital Management LLC, Janus Investment Fund. (Meadows, Gary) (Entered: 03/10/2004)
3/10/04	61	NOTICE OF APPEAL by Deutsche Investment Management Americas Inc, Scudder International Fund Inc. (Hunsaker, Richard) (Entered: 03/10/2004)

* * * * *

3/23/04	66	ORDER vacating conditional transfer order. Signed by Judicial Panel on Multidistrict Litigation on 3/17/04. (dmw) (Entered: 03/23/2004)
3/23/04	67	USCA Case Number 04-1651 for 60 Notice of Appeal filed by Janus Investment Fund, Janus Capital Management LLC. (dmw) (Entered: 03/23/2004)

3/23/04	68	USCA Case Number 04-1650 for 61 Notice of Appeal filed by Scudder International Fund Inc, Deutsche Investment Management Americas Inc. (dmw) (Entered: 03/23/2004)

* * * * *

5/11/05	79	MANDATE of USCA as to 60 Notice of Appeal filed by Janus Investment Fund, Janus Capital Management LLC and 61 Notice of Appeal filed by Scudder International Fund Inc, Deutsche Investment Management Americas Inc; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

* * * * *

5/13/05	75	NOTICE by Edna Grench, Dorothy Luettinger, Robert Potter *of Filing of Amended Complaint as of Right* (King, Robert) (Entered: 05/13/2005)
5/13/05	76	ENTRY STRICKEN PER 80 5/27/05 ORDER - AMENDED COMPLAINT against all defendants, filed by Edna Grench, Dorothy Luettinger, Robert Potter. (King, Robert) Modified on 6/2/2005 (tjh). (Entered: 05/13/2005)
5/13/05	77	First MOTION to Amend/Correct 2 Complaint *in the Alternative to Notice of Filing of Amended Complaint as of Right* by Edna Grench, Dorothy Luettinger, Robert Potter. (King, Robert) (Entered: 05/13/2005)
5/13/05	78	MEMORANDUM in Support re 77 First MOTION to Amend/Correct 2 Complaint

		in the Alternative to Notice of Filing of Amended Complaint as of Right filed by Edna Grench, Dorothy Luettinger, Robert Potter. (King, Robert) (Entered: 05/13/2005)
5/27/05	80	ORDER DISMISSING CASE. The Court VACATES the February 12, 2004 remand Order 58 and DISMISSES with prejudice Plaintiffs' state law claims in accord with the Seventh Circuit's mandate. Further, the Court STRIKES Plaintiffs' amended complaint 76 and DENIES as moot Plaintiffs' motion for leave to amend the complaint 77. Signed by Judge David R Herndon on 5/27/2005. (mbf) (Entered: 05/27/2005)
6/27/05	81	NOTICE OF APPEAL by Edna Grench, Dorothy Luettinger, Robert Potter. (King, Robert) (Entered: 06/27/2005)

* * * * *

7/1/05	85	USCA Case Number 05-2895 for 81 Notice of Appeal filed by Dorothy Luettinger, Robert Potter, Edna Grench. (myz) (Entered: 07/06/2005)

* * * * *

7/13/05	88	ORDER of USCA as to 81 Notice of Appeal filed by Dorothy Luettinger, Robert Potter, Edna Grench. Consolidating for briefing and disposition appeals 05-2895, 05-2896, 05-2911 and 05-2912. (jep) (Entered: 07/15/2005)

* * * * *

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 03-CV-693-DRH

ROBERT POTTER, ET AL.,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

JANUS INVESTMENT FUND, ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
10/23/03	1	NOTICE OF REMOVAL from Madison County State Court Case Number: 03-L-1254 (jmp) (Entered: 10/29/2003)
10/23/03	2	COMPLAINT (copy of) Filing fee pd $150.00 receipt # 300 92834 (jmp) (Entered: 10/29/2003)
10/23/03	3	CONSENT TO REMOVAL by defendant Janus Capital Mgmt (jmp) (Entered: 10/29/2003)
		* * * * *
10/23/03	9	MOTION by defendant Janus Capital Mgmt to stay proceedings pending a transfer decision by the Judicial Panel on Multidistrict Litigation (jmp) (Entered: 10/30/2003)

10/23/03	10	NOTICE of Filing of Notice of Removal by defendant Janus Capital Mgmt (jmp) (Entered: 10/30/2003)

* * * * *

10/28/03	6	CONSENT TO REMOVAL by defendant Scudder Intl Fund, defendant Deutsche Inv Mgmt AM (jmp) (Entered: 10/29/2003)

* * * * *

12/2/03	16	JOINDER by defendant Janus Investment Fd in Janus Capital Management's Motion to Stay, Request for Extension of Time, and Joint Request that all filings in the case captioned "Potter v. Janus Investment Fund, et al.," be Filed under a Single Cause Number (js) (Entered: 12/11/2003)

* * * * *

12/18/03	18	MEMORANDUM AND ORDER by Judge David R. Herndon Case consolidated with 03-693-DRH (cc: all counsel) (dmw) (Entered: 12/29/2003)
12/18/03		MEMORANDUM AND ORDER by Judge David R. Herndon denying motion to stay [9-1] in 03-CV-693-DRH, granting motions for enlargement of time to respond to complaint [19-1], [20-1], [23-1] in 3:03-cv-00692 and [12-1] in 3:03-cv-00693, denying as moot motion to consolidate cases [23-2] in 3:03-cv-00692, granting motion for extension of time to respond to Scudder defendants' motions to sever and transfer [25-1] in 3:03-cv-00692 Court extends plaintiffs' time to respond until 10 days after date of order ruling on mo-

tion to remand (cc: all counsel) (dmw) (Entered: 12/29/2003)

* * * * *

12/23/03 JOINT OPPOSITION by defendants to motion to accelerate briefing [28-1] and for hearing on motion to remand [28-2] (dmw) (Entered: 12/31/2003)

* * * * *

1/8/04 OPPOSITION by defendants Deutsche Inv Mgmt and Scudder Intl Fund to motion to remand for lack of subject matter jurisdiction [26-1] (dmw) (Entered: 01/14/2004)

1/8/04 MEMORANDUM by defendants Janus Investment Fd, Janus Capital Mgmt in opposition to motion to remand for lack of subject matter jurisdiction [26-1] (js) (Entered: 01/15/2004)

* * * * *

2/9/04 ORDER OF REMAND: Case remanded to Madison County. See order at doc 58 in lead case number 03-692-DRH. Signed by Judge David R Herndon on 2/9/04. (dmw) (Entered: 02/11/2004)

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 03-CV-843-DRH

GARY VOGELER,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiff,

v.

COLUMBIA ACORN TRUST, ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
12/12/03	1	NOTICE OF REMOVAL from Madison County State Court; Case Number: 03-L-1550 (trb) (Entered: 12/17/2003)
12/12/03	2	COMPLAINT (copy of) Filing fee pd $ 150.00 receipt # 300 93971 (trb) Modified on 12/17/2003 (Entered: 12/17/2003)
12/12/03	3	NOTICE by defendant Columbia Acorn Trust, defendant Columbia Wanger LP of filing notice of removal (trb) (Entered: 12/17/2003)
		* * * * *
12/18/03		LETTER advising all parties of removal and case number assigned (trb) (Entered: 12/18/2003)

* * * * *

1/16/04	15	MOTION to Dismiss by Columbia Acorn Trust. Responses due by 2/16/2004 (tjh) (Entered: 01/17/2004)
1/16/04	16	MEMORANDUM in Support re 15 MOTION to Dismiss filed by Columbia Acorn Trust. (tjh) (Entered: 01/17/2004)

* * * * *

1/28/04	19	MOTION to Dismiss *Plaintiff's Complaint* by Columbia Wanger Asset Management LP. Responses due by 2/27/2004 (Attachments: # 1 # (2)proposed order) (Santangelo, Jon) Notice of errors added on 2/17/2004 (tjh). Modified on 2/17/2004 (tjh). (Entered: 01/28/2004)
1/28/04	20	MEMORANDUM in Support re 19 MOTION to Dismiss *Plaintiff's Complaint* filed by Columbia Wanger Asset Management LP. (Santangelo, Jon) (Entered: 01/28/2004)

* * * * *

2/9/04	23	MOTION to Remand by Gary Vogeler. (Attachments: # 1) (Barash, Eugene) Additional attachment(s) added on 2/18/2004 (tjh,). (Entered: 02/09/2004)
2/9/04	24	MEMORANDUM in Support re 23 MOTION to Remand filed by Gary Vogeler. (Attachments: # 1 Exhibit # 2 Exhibit # 3 Exhibit # 4 Exhibit # 5 Exhibit # 6 Exhibit # 7 Exhibit) (Barash, Eugene) (Entered: 02/09/2004)

* * * * *

2/12/04	28	ORDER OF REMAND: This case is remanded sua sponte to the Circuit Court

of Madison County, Illinois. The Court DENIES as moot 23 the MOTION to Remand filed by Gary Vogeler, 25 the MOTION to Expedite, 15 the MOTION to Dismiss filed by Columbia Acorn Trust, and 19 the MOTION to Dismiss filed by Columbia Wanger Asset Management LP. Signed by Judge David R Herndon on 02/12/2004. (mbf) (Entered: 02/12/2004)

* * * * *

2/18/04	30	NOTICE OF ERRORS AND/OR DEFICIENCIES re 23 Motion to Remand filed by Gary Vogeler. See attached document for specifics (tjh) (Entered: 02/18/2004)
2/25/04	31	Letter from Clerk of Court to Clerk, Madison County Clerk re remand (tjh) (Entered: 02/25/2004)
3/11/04	32	NOTICE OF APPEAL as to 28 Order of Remand,, Terminate Motions, by Columbia Wanger Asset Management LP. (Santangelo, Jon) (Entered: 03/11/2004)
3/11/04	33	NOTICE OF APPEAL as to 28 Order of Remand,, Terminate Motions, by Columbia Acorn Trust. (Wells, Regina) (Entered: 03/11/2004)

* * * * *

3/22/04	37	USCA Case Number 04-1660 for 32 Notice of Appeal filed by Columbia Wanger Asset Management LP. (dmw) (Entered: 03/22/2004)
3/22/04	38	USCA Case Number 04-1661 for 33 Notice of Appeal filed by Columbia Acorn Trust. (dmw) (Entered: 03/22/2004)

* * * * *

5/11/05	49	MANDATE of USCA as to 32 Notice of Appeal filed by Columbia Wanger Asset Management LP and 33 Notice of Appeal filed by Columbia Acorn Trust; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

* * * * *

5/13/05	45	NOTICE by Gary Vogeler of *Filing of Amended Complaint As of Right* (King, Robert) (Entered: 05/13/2005)
5/13/05	46	AMENDED COMPLAINT against all defendants, filed by Gary Vogeler. (King, Robert) (Entered: 05/13/2005)
5/13/05	47	First MOTION to Amend/Correct 2 Complaint *In the Alternative to Notice of Filing of Amended Complaint* by Gary Vogeler. (King, Robert) (Entered: 05/13/2005)
5/13/05	48	MEMORANDUM in Support re 47 First MOTION to Amend/Correct 2 Complaint *In the Alternative to Notice of Filing of Amended Complaint* filed by Gary Vogeler. (King, Robert) (Entered: 05/13/2005)
5/27/05	50	ORDER DISMISSING CASE. The Court VACATES the February 12, 2004 remand Order 28 and DISMISSES with prejudice Plaintiffs' state law claims in accord with the Seventh Circuit's mandate. Further, the Court STRIKES Plaintiffs' amended complaint 46 and DENIES as moot Plaintiffs' motion for leave to amend the complaint 47. Signed by Judge David R

		Herndon on 5/27/2005. (mbf) (Entered: 05/27/2005)
6/27/05	51	NOTICE OF APPEAL by Gary Vogeler. Filing fee $ 255. (King, Robert) (Entered: 06/27/2005)
		* * * * *
7/6/05	55	USCA Case Number 05-2912 for 51 Notice of Appeal filed by Gary Vogeler. (myz) (Entered: 07/11/2005)
7/13/05	56	ORDER of USCA as to 51 Notice of Appeal filed by Gary Vogeler. Consolidating for briefing and disposition appeals 05-2895, 05-2896, 05-2911 and 05-2912. (jep) (Entered: 07/15/2005)
		* * * * *
7/18/05	57	ORDER of USCA as to 46 Notice of Appeal filed by Steve Dudley, Beth Dudley consolidating Appeals in 03-692-DRH, 03-852-GPM, 03-853-GPM, 04-56-DRH, and 04-355-MJR. (tjh) (Entered: 07/20/2005)

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 03-CV-852-GPM

STEVE DUDLEY AND BETH DUDLEY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

PUTNAM INTERNATIONAL EQUITY FUND, ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
12/15/03	1	NOTICE OF REMOVAL from Madison County State Court Case Number: 03-L-1539 (jmp) (Entered: 12/19/2003)
12/15/03	2	COMPLAINT (copy of) Filing fee pd $150.00 receipt # 300 94015 (jmp) (Entered: 12/19/2003)
		* * * * *
12/15/03	4	NOTICE OF FILING NOTICE OF REMOVAL by plaintiff Steve Dudley, plaintiff Beth Dudley (jmp) (Entered: 12/19/2003)
		* * * * *

12/17/03	5	MOTION by plaintiffs to remand for lack of subject matter jurisdiction (dmw) (Entered: 12/23/2003)
12/17/03	6	MEMORANDUM by plaintiffs in support of motion to remand for lack of subject matter jurisdiction [5-1] (dmw) (Entered: 12/23/2003)
12/17/03	7	MOTION by plaintiffs to accelerate briefing (to expedite), and for hearing on motion to remand for lack of subject matter jurisdiction (dmw) (Entered: 12/23/2003)
12/17/03	8	MEMORANDUM by plaintiffs in support of motion to accelerate briefing [7-1] and for hearing on motion to remand for lack of subject matter jurisdiction [7-2] (dmw) (Entered: 12/23/2003)
12/19/03		LETTER to all attorneys of record advising of removal and case number (jmp) (Entered: 12/19/2003)

* * * * *

1/5/04	15	ORDER by Chief Judge G. P. Murphy granting in part and denying in part motion to accelerate briefing [7-1] and for hearing on motion to remand [7-2], motion to remand [5-1] set for hearing at 3:30 PM on 1/26/04 before Chief Judge G. P. Murphy in the USDC/East St. Louis, IL. If plaintiffs intend to file reply, they should do so by 1/23/04 (cc: all counsel) (dmw) (Entered: 01/10/2004)

* * * * *

1/16/04	18	MEMORANDUM in Opposition re [5] Motion to Remand filed by Putnam International Equity Fund, Putnam Investment Management LLC. (Attach-

ments: # 1 Exhibit A# 2 Exhibit B) (dmw) (Entered: 01/18/2004)

* * * * *

1/23/04 22 NOTICE by Steve Dudley re [5] Motion to Remand (Attachments: # 1 Exhibit January 23rd order) (Barash, Eugene) (Entered: 01/23/2004)

* * * * *

1/27/04 24 ORDER OF REMAND: Case remanded to Third Judicial Circuit, Madison County, IL. GRANTING [5] Motion to Remand filed by Steve Dudley, Beth Dudley. Signed by Judge G. Patrick Murphy on 1/27/04. (lmc) (Entered: 01/27/2004)

1/29/04 26 Letter of remand to Circuit Court, Madison County, IL (dmw)

2/26/04 27 NOTICE OF APPEAL as to 24 Order of Remand, Terminate Motions by Putnam International Equity Fund, Putnam Investment Management LLC. (Jackson, Rebecca) (Entered: 02/26/2004)

* * * * *

3/4/04 28 USCA Case Number 04-1496 for 27 Notice of Appeal filed by Putnam Investment Management LLC, Putnam International Equity Fund. (dmw) (Entered: 03/04/2004)

* * * * *

5/11/05 39 MANDATE of USCA as to 27 Notice of Appeal filed by Putnam Investment Management LLC, Putnam International Equity Fund; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to

undo the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

* * * * *

5/13/05	35	NOTICE by Steve Dudley, Beth Dudley *of Filing of Amended Complaint as of Right* (King, Robert) (Entered: 05/13/2005)
5/13/05	36	AMENDED COMPLAINT against all defendants, filed by Steve Dudley, Beth Dudley. (King, Robert) (Entered: 05/13/2005)
5/13/05	37	First MOTION to Amend/Correct 2 Complaint *in the Alternative to Notice of Filing of Amended Complaint* by Steve Dudley, Beth Dudley. (King, Robert) (Entered: 05/13/2005)
5/13/05	38	MEMORANDUM in Support re 37 First MOTION to Amend/Correct 2 Complaint *in the Alternative to Notice of Filing of Amended Complaint* filed by Steve Dudley, Beth Dudley. (King, Robert) (Entered: 05/13/2005)

* * * * *

6/1/05	41	ORDER DISMISSING CASE pursuant to the Seventh Circuit's May 10, 2005 Mandate 39. The Court's prior Order of remand is "undone", and Plaintiffs' claims are DISMISSED with prejudice. Plaintiffs' first MOTION to Amend/Correct 37 and Defendant's First MOTION for Extension of Time to File Response 40 are DENIED. The Clerk is DIRECTED to enter judgment accordingly and close this case. Signed by Judge G. Patrick Murphy on 06/01/2005. (njr) (Entered: 06/01/2005)

6/1/05	42	JUDGMENT. Signed by Judge G. Patrick Murphy on 06/01/2005. (njr) (Entered: 06/01/2005)
6/27/05	43	NOTICE OF APPEAL by Steve Dudley, Beth Dudley. (King, Robert) (Entered: 06/27/2005)

* * * * *

7/1/05	46	USCA Case Number 05-2896 for 43 Notice of Appeal filed by Steve Dudley, Beth Dudley. (myz) (Entered: 07/06/2005)
7/13/05	48	ORDER of USCA as to 43 Notice of Appeal filed by Steve Dudley, Beth Dudley. Consolidating for briefing and disposition appeals 05-2895, 05-2896, 05-2911 and 05-2912. (jep) (Entered: 07/15/2005)

* * * * *

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 03-CV-853-GPM

STEVE DUDLEY AND BETH DUDLEY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs,

v.

PUTNAM INVESTMENT FUNDS, ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
12/15/03	1	NOTICE OF REMOVAL from Madison County State Court Case Number: 03-L-1540 (trb) (Entered: 12/19/2003)
12/15/03	2	COMPLAINT (copy of) Filing fee pd $150.00 receipt # 300 94017 (trb) (Entered: 12/19/2003)
12/15/03	3	NOTICE by defendant Putnam Funds, defendant Putnam Investment of filing notice of removal (trb) (Entered: 12/19/2003)
		* * * * *
12/17/03	5	MOTION by plaintiff Steve Dudley, plaintiff Beth Dudley to remand for lack of subject matter jurisdiction (myz) (Entered: 12/24/2003)

12/17/03	6	MEMORANDUM by plaintiffs Steve Dudley, Beth Dudley in support of motion to remand for lack of subject matter jurisdiction [5-1] (myz) (Entered: 12/24/2003)
12/17/03	7	MOTION by plaintiffs Steve Dudley, Beth Dudley to accelerate (expedite) briefing & hearing on motion to remand (myz) (Entered: 12/24/2003)
12/17/03	8	MEMORANDUM by plaintiffs Steve Dudley, Beth Dudley in support of motion to accelerate (expedite) briefing & hearing on motion to remand [7-1] (myz) (Entered: 12/24/2003)
12/19/03		LETTER advising all parties of removal and case number assigned (trb) (Entered: 12/19/2003)

* * * * *

1/5/04	15	(MEMORANDUM) by defendant Putnam Funds, defendant Putnam Investment in opposition to motion to accelerate (expedite) briefing & hearing on motion to remand [7-1] (tjh) (Entered: 01/09/2004)
1/5/04	15	CROSS MOTION by defendant Putnam Funds, defendant Putnam Investment to stay proceedings pending transfer determination by the Judicial Panel on Multidistrict Litigation (tjh) (Entered: 01/09/2004)

* * * * *

1/16/04	19	MEMORANDUM OF LAW in Opposition re [5] Motion to Remand filed by Putnam Investment Funds, Putnam Investment Management LLC. (Attachments: # 1 Exhibit A - Pleadings from Southern

District of Texas# 2 Exhibit B – Pleadings from District of Massachusetts) (tjh) (Entered: 01/18/2004)

* * * * *

1/22/04 21 NOTICE of Hearing on Motion [5] Motion to Remand: Motion Hearing set for 3/15/2004 10:30 AM in East St. Louis Court House before Chief Judge G. Patrick Murphy. (lmc) (Entered: 01/22/2004)

1/22/04 22 ORDER granting [7] Motion to Expedite. The court will hear the motion to remand at the time of hearing on case no. 03-852-GPM on Monday, January 26, 2004 at 3:30 PM. The hearing set March 15, 2004 at 10:30 AM is CANCELED. Signed by Judge G. Patrick Murphy on 1/22/04. (lmc) (Entered: 01/22/2004)

* * * * *

1/23/04 23 NOTICE by Beth Dudley re [5] Motion to Remand (Attachments: # 1 Exhibit January 23rd order) (Barash, Eugene) (Entered: 01/23/2004)

* * * * *

1/27/04 25 ORDER OF REMAND: Case remanded to Third Judicial Circuit, Madison County, IL. GRANTING [5] Motion to Remand filed by Steve Dudley, Beth Dudley. Signed by Judge G. Patrick Murphy on 1/27/04. (lmc) (Entered: 01/27/2004)

2/15/04 27 Letter from Clerk of Court to Clerk, 3rd Judicial Circuit, Madison County, IL re remand (tjh) (Entered: 02/15/2004)

2/17/04 28 NOTICE OF ERRORS AND/OR DEFICIENCIES re 23 Notice (Other) filed by

Beth Dudley. See attached document for specifics (tjh) (Entered: 02/17/2004)

* * * * *

2/26/04 30 NOTICE OF APPEAL as to 25 Order of Remand, Terminate Motions by Putnam Investment Funds, Putnam Investment Management LLC. (Jackson, Rebecca) (Entered: 02/26/2004)

* * * * *

3/18/04 33 USCA Case Number 04-1608 for 30 Notice of Appeal filed by Putnam Investment Management LLC, Putnam Investment Funds. (dmw) (Entered: 03/23/2004)

* * * * *

5/11/05 42 MANDATE of USCA as to 30 Notice of Appeal filed by Putnam Investment Management LLC, Putnam Investment Funds; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

5/13/05 38 NOTICE by Steve Dudley, Beth Dudley *of Filing of Amended Complaint as of Right* (King, Robert) (Entered: 05/13/2005)

5/13/05 39 AMENDED COMPLAINT against all defendants, filed by Steve Dudley, Beth Dudley. (King, Robert) (Entered: 05/13/2005)

5/13/05 40 First MOTION to Amend/Correct 2 Complaint *in the Alternative to Notice of Filing of Amended Complaint* by Steve

Dudley, Beth Dudley. (King, Robert) (Entered: 05/13/2005)

5/13/05 41 MEMORANDUM in Support re 40 First MOTION to Amend/Correct 2 Complaint *in the Alternative to Notice of Filing of Amended Complaint* filed by Steve Dudley, Beth Dudley. (King, Robert) (Entered: 05/13/2005)

* * * * *

6/1/05 44 ORDER DISMISSING CASE pursuant to the Seventh Circuit's May 10, 2005 Mandate 42. The Court's prior Order of remand is "undone", and Plaintiffs' claims are DISMISSED with prejudice. Plaintiffs' first MOTION to Amend/Correct 40 and Defendant's First MOTION for Extension of Time to File Response 43 are DENIED. The Clerk is DIRECTED to enter judgment accordingly and close this case. Signed by Judge G. Patrick Murphy on 06/01/2005. (njr) (Entered: 06/01/2005)

6/1/05 45 JUDGMENT. Signed by Judge G. Patrick Murphy on 06/01/2005. (njr) (Entered: 06/01/2005)

6/27/05 46 NOTICE OF APPEAL by Steve Dudley, Beth Dudley. Filing fee $ 255. (King, Robert) (Entered: 06/27/2005)

* * * * *

7/6/05 49 USCA Case Number 05-2911 for 46 Notice of Appeal filed by Steve Dudley, Beth Dudley. (myz) (Entered: 07/11/2005)

7/13/05 50 ORDER of USCA as to 46 Notice of Appeal filed by Steve Dudley, Beth Dudley. Consolidating for briefing and disposition

appeals 05-2895, 05-2896, 05-2911 and 05-2912. (jep) (Entered: 07/15/2005)

* * * * *

7/18/05	51	ORDER of USCA as to 46 Notice of Appeal filed by Steve Dudley, Beth Dudley consolidating Appeals in 03-692-DRH, 03-852-GPM, 03-843-DRH, 04-56-DRH, and 04-355-MJR. (tjh) (Entered: 07/20/2005)

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 04-CV-56-DRH

AVERY JACKSON,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiff,

v.

VAN KAMPEN SERIES FUND INC., ET AL.,
Defendants.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
1/22/04	1	NOTICE OF REMOVAL by Van Kampen Series Fund Inc and Van Kampen Investment Advisory Corp from Madison County Illinois State Court, case number 03-L-2056. (Filing fee $ 150 paid; receipt #300 94809) (Attachments: # 1 Civil Cover Sheet) (jmp) (Entered: 01/23/2004)
1/22/04	2	COMPLAINT against Van Kampen Series Fund Inc and Van Kampen Investment Advisory Corp, filed by Avery Jackson. (jmp) (Entered: 01/28/2004)
1/28/04	3	Letter to all attorneys of record advising of removal and case number (jmp) (Entered: 01/29/2004)

* * * * *

2/11/04	13	MOTION to Remand by Avery Jackson (Barash, Eugene) Modified on 2/18/2004 (dlr). (Entered: 02/11/2004)
2/11/04	14	MEMORANDUM in Support re 13 MOTION to Remand filed by Avery Jackson. (Attachments: # 1 Exhibit # 2 Exhibit # 3 Exhibit # 4 Exhibit # 5 Exhibit # 6 Exhibit # 7 Exhibit) (Barash, Eugene) (Entered: 02/11/2004)
		* * * * *
3/12/04	17	RESPONSE in Opposition re 13 MOTION to Remand *Defendants' Opposition to Remand* filed by Van Kampen Investment Advisory Corp. (Carey, Jack) (Entered: 03/12/2004)
		* * * * *
4/1/04	20	VACATED - See Court Order of 5/27/05 - ORDER granting 13 Plaintiff's Motion to Remand and denying as moot 18 Plaintiff's Motion to Accelearate [*sic*] Briefing and Hearing on Motion to Remand for Lack of Subject Matter Jurisdiction. This action is REMANDED to the Circuit Court of Madison County, Illinois. Signed by Judge David R Herndon on 4/1/04. (mlm) Modified on 5/31/2005 (myz). (Entered: 04/01/2004)
4/12/04	21	Letter from US District Court to Madison County State Court regarding Order of Remand. (dlr) (Entered: 04/12/2004)
4/30/04	22	NOTICE OF APPEAL as to 20 Order on Motion to Remand,, Order on Motion for Miscellaneous Relief, by Van Kampen Investment Advisory Corp, Van Kampen Series Fund Inc. (Sperling, Robert) (Entered: 04/30/2004)

* * * * *

5/10/04	23	USCA Case Number 04-2162 for 22 Notice of Appeal filed by Van Kampen Series Fund Inc, Van Kampen Investment Advisory Corp. (dlr) (Entered: 05/10/2004)

* * * * *

5/11/05	32	MANDATE of USCA as to 22 Notice of Appeal filed by Van Kampen Series Fund Inc, Van Kampen Investment Advisory Corp; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

* * * * *

5/13/05	28	NOTICE by Avery Jackson *of Filing of Amended Complaint as of Right* (King, Robert) (Entered: 05/13/2005)
5/13/05	29	STRICKEN - See Court Order of 5/27/05 - AMENDED COMPLAINT against all defendants, filed by Avery Jackson. (King, Robert) Modified on 5/31/2005 (myz). (Entered: 05/13/2005)
5/13/05	30	First MOTION to Amend/Correct 2 Complaint *in the Alternative to Notice of Filing of Amended Complaint* by Avery Jackson. (King, Robert) (Entered: 05/13/2005)
5/13/05	31	MEMORANDUM in Support re 30 First MOTION to Amend/Correct 2 Complaint *in the Alternative to Notice of Filing of Amended Complaint* filed by

Avery Jackson. (King, Robert) (Entered: 05/13/2005)

5/27/05 33 ORDER DISMISSING CASE: The Court vacates 20 the 4/1/2004 remand Order and dismisses with prejudice Plaintiff's state law claims in accord with the Seventh Circuit's mandate 32. Further, the Court strikes 29 Plaintiff's amended complaint and denies 30 as moot Plaintiff's motion for leave to amend the complaint. Signed by Judge David R Herndon on 5/27/2005. (mlm) (Entered: 05/27/2005)

5/31/05 34 Letter to Madison County State Court regarding Order vacating the Order of Remand. (myz) (Entered: 05/31/2005)

5/31/05 35 Acknowledgement Letter from Madison County State Court regarding order vacating order of remand. (myz) (Entered: 05/31/2005)

6/27/05 36 NOTICE OF APPEAL by Avery Jackson re Order 33 Filing fee $ 255. (King, Robert) Modified on 7/5/2005 (dlr). (Entered: 06/27/2005)

* * * * *

7/15/05 39 USCA Case Number 05-2981 for 36 Notice of Appeal filed by Avery Jackson. (dlr) (Entered: 07/18/2005)

* * * * *

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF ILLINOIS
EAST ST. LOUIS

No. 04-CV-355-MJR

TERRY SPURGEON, AS CUSTODIAN FOR THE BENEFIT OF JAMES E. SPURGEON, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiff,

v.

PACIFIC LIFE INSURANCE COMPANY,
Defendant.

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
5/21/04	1	NOTICE OF REMOVAL by Pacific Life Insurance Company from Madison County State Court, case number 04-L-241. (Filing fee $ 150.00, receipt # 300 97476) (Attachments: # 1 Civil Cover Sheet) (trb.) (Entered: 05/24/2004)
5/21/04	2	COMPLAINT (copy of) against Pacific Life Insurance Company, filed by Terry Spurgeon. (trb.) (Entered: 05/24/2004)
5/24/04	3	NOTICE by Pacific Life Insurance Company re 1 Notice of Removal *Acknowledgement of Filing of Notice of Removal* (Rankin, W.) (Entered: 05/24/2004)
5/24/04	4	NOTICE by Pacific Life Insurance Company re 1 Notice of Removal *Declaration*

of Adrian Griggs (Rankin, W.) (Entered: 05/24/2004)

* * * * *

5/26/04 7 ORDER: Removed on May 21, 2004 by Def Pacific Life, this case comes now before the Court for threshold review. The Court DIRECTS Plaintiff Spurgeon to file a Memorandum (no longer than 10 pages) responding to the jurisdictional allegations contained in the removal notice on or before June 18, 2004. Additionally, the Court DIRECTS each of the parties to file a "Brief Regarding Venue" (no longer than 10 pages, also due June 18, 2004) addressing (a) whether and why venue properly lies in this Judicial District and (b) whether and why transfer to another Judicial District is appropriate under 28 U.S.C. 1404(a) or 1406(a). Signed by Judge Michael J. Reagan on 05/26/04. (soh) (Entered: 05/26/2004)

* * * * *

5/26/04 11 Letter advising all parties of removal and case number assigned. (trb,) (Entered: 05/26/2004)

* * * * *

6/18/04 20 RESPONSE to [7] Order,, *Memorandum responding to jurisdictional allegations contained in the removal notice* filed by Terry Spurgeon. (Attachments: # 1 Exhibit # 2 Exhibit # 3 # 4 Exhibit # 5 Exhibit # 6 Exhibit # 7 Exhibit # 8 Exhibit) (Barash, Eugene) (Entered: 06/18/2004)

* * * * *

6/22/04	24	MOTION for Leave to File *Resonse* [*sic*] *to Plaintiff's Jurisdictional Memorandum* by Pacific Life Insurance Company. (Rankin, W.) (Entered: 06/22/2004)
6/23/04	25	ORDER: The Court hereby GRANTS 24, Pacific Life's Motion for Leave to File a Response (in the nature of a reply brief) on the issue of jurisdiction. Pacific Life shall electronically file its "Response to Plaintiff's Jurisdictional Memorandum" by 6/24/04. (Pacific Life did submit the response with a proposed order sent to the undersigned Judge's proposed document in-box, so the Judge can work off that copy, but Pacific Life still must properly e-file the response.) Signed by Judge Michael J. Reagan on 6/23/04. (soh) (Entered: 06/23/2004)
6/23/04	26	RESPONSE to 20 Response, *to Plaintiff's Jurisdictional Memo* filed by Pacific Life Insurance Company. (Rankin, W.) (Entered: 06/23/2004)
6/24/04	27	MEMORANDUM AND ORDER remanding case to the Circuit Court of Madison County, Illinois because it lacks subject matter jurisdiction. As Spurgeon's counsel did not request such an award in its jurisdictional brief urging remand, the Court declines to charge Pacific Life to removal costs incurred by Spurgeon. Signed by Judge Michael J. Reagan on 6/24/04. (lmb) (Entered: 06/24/2004)
6/28/04	28	Letter to Madison County State Court regarding Order of Remand. (dlr) Entered: 06/28/2004)
7/1/04	29	NOTICE OF APPEAL as to 27 Order of Remand,, 16 Order on Motion to Stay, by

Pacific Life Insurance Company. (Rankin, W.) (Entered: 07/01/2004)

* * * * *

7/12/04	33	USCA Case Number 04-2687 for 29 Notice of Appeal filed by Pacific Life Insurance Company. (dlr) (Entered: 07/13/2004)
9/2/04	34	MOTION to Stay re 27 Order of Remand, by Pacific Life Insurance Company. (Rankin, W.) (Entered: 09/02/2004)
9/8/04	35	MEMORANDUM AND ORDER denying Pacific Life's September 2, 2004 motion to stay 34. Signed by Judge Michael J. Reagan on 9/8/04. (lmb) (Entered: 09/08/2004)
11/3/04	36	NOTICE by Pacific Life Insurance Company re 27 Order of Remand, *Notice of Stay of Order of Remand* (Rankin, W.) (Entered: 11/03/2004)

* * * * *

5/11/05	46	MANDATE of USCA as to 29 Notice of Appeal filed by Pacific Life Insurance Company; the judgment of the District Court is REVERSED, with costs, and the case is remanded with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. (tjh) (Entered: 05/18/2005)

* * * * *

5/13/05	41	NOTICE by Terry Spurgeon *of Filing of Amended Complaint As of Right* (King, Robert) (Entered: 05/13/2005)
5/13/05	42	AMENDED COMPLAINT (STRICKEN - see Order 52) against Pacific Life Insur-

ance Company, filed by Terry Spurgeon. (King, Robert) Modified on 5/31/2005 (acm). (Entered: 05/13/2005)

5/13/05 43 First MOTION to Amend/Correct 2 Complaint *In the Alternative to Notice of Filing Amended Complaint as of Right* by Terry Spurgeon. (King, Robert) (Entered: 05/13/2005)

5/13/05 44 MEMORANDUM in Support re 43 First MOTION to Amend/Correct 2 Complaint *In the Alternative to Notice of Filing Amended Complaint as of Right* filed by Terry Spurgeon. (King, Robert) (Entered: 05/13/2005)

5/16/05 45 ORDER Regarding Action due by 6/2/2005: On 4/5/05, the Court of Appeals for the Seventh Circuit directed this Court to "undo the remand order" entered by the undersigned Judge in June 2004 and "dismiss plaintiffs' state-law claims." Kircher v. Putnam Funds Trust, 403 F.3d 478, 484 (7th Cir. 2005). The undersigned Judge cannot comply with this directive until he receives the official Seventh Circuit mandate. To date, that mandate has not been received. On 5/13/05, however, Plaintiffs' counsel moved to amend the complaint (Doc. 43). The Court now DIRECTS Defendant Pacific Life to file a response to Doc. 43 by June 2, 2005. Based on the 5/13/05 pleadings, the Court anticipates that Plaintiffs also will move to remand this case to state court. The Court SETS A HEARING on the motion to amend complaint (Doc. 43) and any remand motion at 9:15 am on Friday, July 15, 2005.

Signed by Judge Michael J. Reagan on 5/16/05. (soh) (Entered: 05/16/2005)

* * * * *

5/25/05 49 ORDER granting in part and denying in part 47 Motion for Extension of Time to File Response: On the heels of the Seventh Circuit's opinion directing this Court to "undo the remand" and "dismiss plaintiffs' state-law claims" in this case, Plaintiffs filed both an amended complaint and a motion for LEAVE to file an amended complaint (Docs. 42, 43). The Court directed Defendant to respond to the motion for leave to amend by June 2, 2005. Defendant now moves the Court to extend the deadline by which it must answer the amended complaint. The Court grants that request (Doc. 47) in part, allowing the extension, but not the full amount of time sought by Defendant. Defendant shall have 10 days after this Court rules on the motion for leave to amend complaint (Doc. 43) in which to move, answer, or otherwise plead in response to the already-filed amended complaint (Doc. 42). Signed by Judge Michael J. Reagan on 5/25/05. (soh) (Entered: 05/25/2005)

5/26/05 50 MOTION to Enforce *Court of Appeals' Mandate* by Pacific Life Insurance Company. (Rankin, W.) (Entered: 05/26/2005)

5/26/05 51 RESPONSE in Opposition re 43 First MOTION to Amend/Correct 2 Complaint *In the Alternative to Notice of Filing Amended Complaint as of Right* filed by Pacific Life Insurance Company. (Attachments: # 1 Exhibit 1# 2 Exhibit 2

		# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5 # 6 Exhibit 6) (Rankin, W.) (Entered: 05/26/2005)
5/27/05	52	ORDER: Pursuant to the Seventh Circuit's May 10, 2005 mandate, this Court hereby "undoes" its prior remand Order and dismisses with prejudice Plaintiffs' claims. This renders moot Plaintiffs' motion for leave to amend and Defendant's motion to enforce. All claims having been dismissed, the Clerk of Court now will close this case. See Order for details. Signed by Judge Michael J. Reagan on 5/27/05. (soh) (Entered: 05/27/2005)
5/31/05	53	CLERK'S JUDGMENT – plaintiffs' claims in the original complaint are dismised with prejudice, in accord with the April 5, 2005 mandate. (acm) (Entered: 05/31/2005)
6/27/05	54	NOTICE OF APPEAL by Terry Spurgeon re Order 52, Judgment 53. Filing fee $ 255. (King, Robert) Modified on 7/5/2005 (dlr). (Entered: 06/27/2005)
		* * * * *
7/15/05	57	USCA Case Number 05-3011 for 54 Notice of Appeal filed by Terry Spurgeon. (dlr) (Entered: 07/18/2005)
		* * * * *

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1495

CARL KIRCHER AND ROBERT BROCKWAY,
INDIVIDUALLY AND ON BEHALF OF
ALL OTHERS SIMILARLY SITUATED,
Plaintiffs-Appellees,

v.

PUTNAM FUNDS TRUST, ET AL.,
Defendants-Appellants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/1/04	Private civil case docketed. [04-1495] [1712569-1] Transcript information sheet due 3/11/04. Fee or IFP forms due on 3/15/04 for Putnam Funds Trust, for Putnam Investment. Docketing Statement due 3/4/04. (squi) [04-1495]
3/3/04	ORDER: Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495 shall file a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. [1712640-1] DW [04-1495] [1713294-1] Briefing is SUSPENDED pending further court order. (See order for further details) Jurisdictional memorandum due 3/16/04 for Putnam Investment, for Putnam Funds Trust. (kell) [04-1495]

* * * * *

3/16/04 Filed Appellant Putnam Funds Trust, Appellant Putnam Investment jurisdictional memorandum. [04-1495] [1717993-1] (nath) [04-1495]

3/18/04 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495 shall file a response to appellants' MEMORANDUM addressing the jurisdictional issue raised in the court's order of March 3, 2004. [1717378-1] DW [04-1495] Response due 3/24/04 for Robert Brockway, for Carl Kircher. (julr) [04-1495]

3/24/04 Filed Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495 response to Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495 jurisdictional memorandum. [1718527-1] [04-1495] (nath) [04-1495]

* * * * *

6/29/04 Filed opinion of the court by Judge Easerbrook [*sic*]. Technically this opinion creates a conflict among the circuits about appellate review of decisions under SLUSA, so we have circulated it before release to all active judges under Cir.R. 40(e). But our disposition reflects nothing more than application of settled circuit law to a different substantive statue [*sic*]. We could not follow the second and ninth circuits without overruling Amoco Petroleum Additives and later decisions in this circuit. Because Amoco Petroleum Additives has the support of at least three other circuits – not to mention Things Remembered and Quackenbush –

overruling would be inappropriate. On the Rule 40(e) poll, none of the active judges favored a hearing en banc. The appeal is within our appellate jurisdition [*sic*] and will proceed to briefing and decision on the merits. Circuit Judge Frank H. Easterbrook, Circuit Judge Terence T. Evans, Circuit Judge Ann C. Williams. [1751744-1] [04-1495] (hard) [04-1495]

7/1/04 ORDER: The court, on its own motion, orders appellants and appellees to file a statement advising this court whether this appeal can be consolidated with Appeal Nos. 04-1496, 04-1608, 04-1628, 04-1650, 04-1651, 04-1660, 04-1661, and 04-2162 for purposes of briefing and disposition. The statements are due no later than July 9, 2004. DW [04-1495] (fern) [04-1495]

7/9/04 Filed Appellee Carl Kircher in 04-1495 statement on consolidation. [1754914-1] [04-1495] [1754914-1] (fern) [04-1495]

7/9/04 Filed Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen

Series in 04-2162, Appellant Van Kampen Investmen in 04-2162 joint statement regarding consolidation of appeals for purposes of briefing and disposition. [1757237-1] [04-1495, [*et al.*]] [1757237-1] (jenn) [04-1495 [*et al.*]]

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant

Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

9/21/04 ORDER: Plaintiffs have initiated discovery, which the state judge has declined to stay pending the outcome of the appeal. Van Kampen seeks a stay from us. We issue the requested stay on the authority of 15 U.S.C. §77z-1(b)(4), which operates as an exception to the Anti-Injunction Act. Accordingly, plaintiffs are prohibited from seeking or taking any discovery in this litigation. If the remand order should be affirmed, the stay will terminate automatically when this court issues its mandate. [1775291-1] LAB [04-2162] (amyd) [04-2162]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in

04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04 ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05 Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kam) [04-1495 [*et al.*]]

* * * * *

4/5/05 Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]

4/5/05 ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]

4/19/05 Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in

04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to

8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05 Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]

1/23/06 Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1496

STEVE DUDLEY AND BETH DUDLEY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs-Appellees,

v.

PUTNAM INTERNATIONAL EQUITY FUND, ET AL.,
Defendants-Appellants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/1/04	Private civil case docketed. [04-1496] [1712569-1] Transcript information sheet due 3/11/04. Fee or IFP forms due on 3/15/04 for Putnam Int'l Equity, for Putnam Investment. Docketing Statement due 3/4/04. (squi) [04-1496]
3/3/04	ORDER: Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496 shall file a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. [1712569-1] DW [04-1496] [1713323-1] Briefing is SUSPENDED pending further court order. (See order for further details) Jurisdictional memorandum due 3/16/04 for Putnam Investment, for Putnam Int'l Equity. (amyd) [04-1496]

* * * * *

3/16/04 Filed Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496 jurisdictional memorandum. [04-1496] [1717995-1] (nath) [04-1496]

3/17/04 ORDER: The court, on its own motion, orders these appeals CONSOLIDATED for purposes of briefing and disposition. [1716867-1] DW [04-1496, 04-1608] [1718461-1] Briefing is SUSPENDED pending further court order. (amyd) [04-1496 04-1608]

* * * * *

3/18/04 ORDER: Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608 shall file a response to appellants' MEMORANDUM addressing the jurisdictional issue raised in the court's order of March 3, 2004. [1717504-1] [04-1496, 04-1608] Response due 3/24/04 for Beth Dudley in 04-1496, for Steve Dudley in 04-1496, for Beth Dudley in 04-1608, for Steve Dudley in 04-1608. (julr) [04-1496 04-1608]

* * * * *

3/24/04 Filed Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608 jurisdictional memorandum, per this court's 3/18/04 order. [04-1496, 04-1608] [1720923-1] (julr) [04-1496 04-1608]

* * * * *

6/30/04 ORDER: This consolidated appeal is within our appellate jurisdition [*sic*] and

will proceed to briefing and decision on the merits. See Kircher V. Putnam Funds Trust, No. 04-1495, slip op. (7th Cir June 29, 2004). [1716867-1] DW [04-1496, 04-1608] (julr) [04-1496 04-1608]

7/1/04 ORDER: The court, on its own motion, orders appellants and appellees to file a statement advising this court whether this consolidated appeal can be consolidated with appeal nos. 04-1495, 04-1628, 04-1650, 04-1651, 04-1660, 04-1661, and 04-2162 for purposes of briefing and disposition. The statements are due no later than 7/9/04. DW [04-1496, 04-1608] (kell) [04-1496, 04-1608]

7/9/04 Filed Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608 statement on consolidation. [1754955-1] [04-1496, 04-1608] [1754955-1] (fern) [04-1496, 04-1608]

7/9/04 Filed Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn

Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162 joint statement regarding consolidation of appeals for purposes of briefing and disposition. [1757237-1] [04-1495, [*et al.*]] [1757237-1] (jenn) [04-1495 [*et al.*]]

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Put-

nam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam In-

vestment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04 ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05 Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]

* * * * *

4/5/05 Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane

P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]

4/5/05 ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]

4/19/05 Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in

04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05 Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]

1/23/06 Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 pre-

sented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1608

STEVE DUDLEY AND BETH DUDLEY,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs-Appellees,

v.

PUTNAM INVESTMENT MANAGEMENT, LLC, ET AL.,
Defendants-Appellants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/12/04	Private civil case docketed. [04-1608] [1716867-1] Transcript information sheet due 3/22/04. Fee or IFP forms due on 3/26/04 for Putnam Investment, for Putnam Investment Fu. Docketing Statement due 3/4/04. (hudk) [04-1608]
	* * * * *
3/16/04	Filed Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608 jurisdictional memorandum. [04-1608] [1717997-1] (nath) [04-1608]
3/17/04	ORDER: Appellant Putnam Investment in 04-1608. Appellant Putnam Investment Fu in 04-1608 shall file a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. [1712640-1] DW [04-1608]

[1718138-1] Briefing is SUSPENDED pending further court order. (See order for further details) Jurisdictional memorandum due 3/29/04 for Putnam Investment Fu, for Putnam Investment. (hudk) [04-1608]

3/17/04 ORDER: The court, on its own motion, orders these appeals CONSOLIDATED for purposes of briefing and disposition. [1716867-1] DW [04-1496, 04-1608] [1718461-1] Briefing is SUSPENDED pending further court order. (amyd) [04-1496 04-1608]

* * * * *

3/18/04 ORDER: Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608 shall file a response to appellants' MEMORANDUM addressing the jurisdictional issue raised in the court's order of March 3, 2004. [1717504-1] [04-1496, 04-1608] Response due 3/24/04 for Beth Dudley in 04-1496, for Steve Dudley in 04-1496, for Beth Dudley in 04-1608, for Steve Dudley in 04-1608. (julr) [04-1496 04-1608]

* * * * *

3/24/04 Filed Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608 jurisdictional memorandum, per this court's 3/18/04 order. [04-1496, 04-1608] [1720923-1] (julr) [04-1496 04-1608]

* * * * *

6/30/04 ORDER: This consolidated appeal is within our appellate jurisdition [*sic*] and will proceed to briefing and decision on the merits. See Kircher V. Putnam Funds Trust, No. 04-1495, slip op. (7th Cir June 29, 2004). [1716867-1] DW [04-1496, 04-1608] (julr) [04-1496 04-1608]

7/1/04 ORDER: The court, on its own motion, orders appellants and appellees to file a statement advising this court whether this consolidated appeal can be consolidated with appeal nos. 04-1495, 04-1628, 04-1650, 04-1651, 04-1660, 04-1661, and 04-2162 for purposes of briefing and disposition. The statements are due no later than 7/9/04. DW [04-1496, 04-1608] (kell) [04-1496, 04-1608]

7/9/04 Filed Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608 statement on consolidation. [1754955-1] [04-1496, 04-1608] [1754955-1] (fern) [04-1496, 04-1608]

7/9/04 Filed Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt

in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162 joint statement regarding consolidation of appeals for purposes of briefing and disposition. [1757237-1] [04-1495, [*et al.*]] [1757237-1] (jenn) [04-1495 [*et al.*]]

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04 ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05 Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]

* * * * *

4/5/05 Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge

Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]

4/5/05 ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]

4/19/05 Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in

04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05 Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]

1/23/06	Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1628

T.K. PARTHASARATHY, ET AL., INDIVIDUALLY
AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiffs-Appellees,

v.

ARTISAN FUNDS, INC., A CORPORATION, ET AL.,
Defendants-Appellants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/15/04	Private civil case docketed. [04-1628] [1717625-1] Transcript information sheet due 3/25/04. Fee or IFP forms due on 3/29/04 for Artisan Funds Inc, for Artisan Partners. Docketing Statement due 3/8/04. (hudk) [04-1628]
	* * * * *
3/18/04	ORDER: Appellant Artisan Funds Inc. Appellant Artisan Partners shall file a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. [1717625-1] DW [04-1628] [1718569-1] Briefing is SUSPENDED pending further court order. (See order for further details) Jurisdictional memorandum due 4/1/04 for Artisan Partners, for Artisan Funds Inc. (hudk) [04-1628]
	* * * * *

4/1/04 Filed Appellant Artisan Funds Inc, Appellant Artisan Partners jurisdictional memorandum. [04-1628] [1724201-1] (amyd) [04-1628]

* * * * *

6/30/04 ORDER: This appeal is within our appellate jurisdiction and will proceed to briefing and decision on the merits. See Kircher v. Putnam Funds Trust, No. 04-1495, slip op. (7th Cir June 29, 2004). [1717625-1] DW [04-1628] (amyd) [04-1628]

* * * * *

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in

04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in

04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Put- Funds Trust in 04-1495, Putnam In- tment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04 ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05 Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]

* * * * *

4/5/05	Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]
4/5/05	ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]
4/19/05	Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]
5/2/05	ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in

04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05	Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]
1/23/06	Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1650

DOROTHY LUETTINGER AND ROBERT POTTER,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs-Appellees,

v.

SCUDDER INTERNATIONAL FUND, INC., ET AL.,
Defendants-Appellants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/17/04	Private civil case docketed. [04-1650] [1712569-1] Transcript information sheet due 3/29/04. Fee or IFP forms due on 3/31/04 for Scudder Int'l Fund, for Deutsche Investment. (amyd) [04-1650]
	* * * * *
3/19/04	ORDER: The court, on its own motion, orders these appeals CONSOLIDATED for purposes of briefing and disposition. [1718486-1] DW [04-1650, 04-1651], [1719137-2] Appellants shall file, on or before 4/1/04, a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. A motion for volutary [*sic*] dismissal pursuant to FRAP 42(b) will statisfy [*sic*] this requirement. Briefing is SUSPENDED pending further court order. (See order

for further details) (amyd) [04-1650 04-1651]

* * * * *

4/1/04 Filed Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650 jurisdictional memorandum. [04-1650, 04-1651] [1724166-1] (nath) [04-1650 04-1651]

* * * * *

4/26/04 ORDER: Filed clerk's notice to Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651 to file a response to APPELLANTS' MEMORANDUM addressing the jurisdictional issue raised in the court's order of 3/19/04. [1718527-1] DW [04-1650, 04-1651] Response due 5/3/04 for Robert Potter in 04-1650, for Dorothy Luettinger in 04-1650, for Robert Potter in 04-1651, for Dorothy Luettinger in 04-1651 (hard) [04-1650 04-1651]

5/3/04 Filed Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651 jurisdictional memorandum. [04-1650, 04-1651] [1734381-1] (nath) [04-1650 04-1651]

6/30/04 ORDER: This consolidated appeal is within our appellate jurisdiction and will proceed to briefing and decision on the merits. See Kircher v. Putnam Funds Trust, no. 04-1495, slip op. (7th Cir. June

29, 2004). DW [04-1650, 04-1651] (kell) [04-1650 04-1651]

* * * * *

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608,

Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Arti-

	san Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]
10/26/04	ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]
	* * * * *
1/7/05	Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]
	* * * * *
4/5/05	Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]

4/5/05 ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]

4/19/05 Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in

04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05 Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]

1/23/06 Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court

Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1651

DOROTHY LUETTINGER AND ROBERT POTTER,
INDIVIDUALLY AND ON BEHALF OF ALL OTHERS
SIMILARLY SITUATED,
Plaintiffs-Appellees,

v.

JANUS INVESTMENT FUND, ET AL.,
Defendants-Appellants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/17/04	Private civil case docketed. [04-1651] [1718486-1] Transcript information sheet due 3/29/04. Fee or IFP forms due on 3/31/04 for Janus Investment Fun, for Janus Capital Mgmt. (amyd) [04-1651]
	* * * * *
3/17/04	Filed Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt 04-1651 jurisdictional memorandum. [04-1651] [1718539-1] (amyd) [04-1651]
3/19/04	ORDER: The court, on its own motion, orders these appeals CONSOLIDATED for purposes of briefing and disposition. [1718486-1] DW [04-1650, 04-1651] [1719137-2] Appellants shall file, on or before 4/1/04, a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. A motion

for volutary [*sic*] dismissal pursuant to FRAP 42(b) will statisfy [*sic*] this requirement. Briefing is SUSPENDED pending further court order. (See order for further details) (amyd) [04-1650 04-1651]

* * * * *

4/1/04 Filed Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650 jurisdictional memorandum. [04-1650, 04-1651] [1724166-1] (nath) [04-1650, 04-1651]

* * * * *

4/26/04 ORDER: Filed clerk's notice to Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651 to file a response to APPELLANTS' MEMORANDUM addressing the jurisdictional issue raised in the court's order of 3/19/04. [1718527-1] DW [04-1650, 04-1651] Response due 5/3/04 for Robert Potter in 04-1650, for Dorothy Luettinger in 04-1650, for Robert Potter in 04-1651, for Dorothy Luettinger in 04-1651 (hard) [04-1650 04-1651]

5/3/04 Filed Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651 jurisdictional memorandum. [04-1650, 04-1651] [1734381-1] (nath) [04-1650 04-1651]

6/30/04 ORDER: This consolidated appeal is within our appellate jurisdiction and will

proceed to briefing and decision on the merits. See Kircher v. Putnam Funds Trust, no. 04-1495, slip op. (7th Cir. June 29, 2004). DW [04-1650, 04-1651] (kell) [04-1650 04-1651]

* * * * *

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant

Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity

in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04 ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05 Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]

* * * * *

4/5/05 Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane

P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]

4/5/05 ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]

4/19/05 Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in

04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DEN. ED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05 Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]

1/23/06 Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 pre-

sented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1660

GARY VOGELER, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiff-Appellee,

v.

COLUMBIA WANGER ASSET MANAGEMENT L.P.,
Defendant-Appellant.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/18/04	Private civil case docketed. [04-1660] [1718839-1] Transcript information sheet due 3/29/04. Fee or IFP forms due on 4/1/04 for Columbia Wanger. Docketing Statement due 3/18/04. (fern) [04-1660]
	* * * * *
3/22/04	ORDER: The court, on its own motion, orders these appeals CONSOLIDATED for purposes of briefing and disposition. [1719008-1] DW [04-1660, 04-1661] [1719593-2] The appellant shall file, on or before April 2, 2004, a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. A motion for voluntary dismissal pursuant to Fed. R. App. P. 42(b) will satisfy this requirement. Briefing is SUSPENDED pending further court order. (See order for further details) Jurisdictional memorandum due 4/2/04 for Columbia Wanger

in 04-1660, for Columbia Acorn Trust in 04-1661. (amyd) [04-1660 04-1661]

* * * * *

4/2/04 Filed Appellant Columbia Wanger in 04-1660 jurisdictional memorandum, per this court's 3/22/04. [04-1660] [1724372-1] (julr) [04-1660]

4/2/04 Filed Appellant Columbia Acorn Trust in 04-1661 jurisdictional memorandum. [04-1661, 04-1660] [1724667-1] (hard) [04-1660 04-1661]

* * * * *

6/30/04 ORDER: This consolidated appeal is within our appellate jurisdiction and will proceed to briefing and decision on the merits. See Kircher v. Putnam Funds Trust, no. 04-1495, slip op. (7th Cir. June 29, 2004). DW [04-1660, 04-1661] (kell) [04-1660 04-1661]

* * * * *

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496,

Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in

04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04 ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05	Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]

* * * * *

4/5/05	Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]
4/5/05	ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]
4/19/05	Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in

04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to

8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05 Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]

1/23/06 Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-1661

GARY VOGELER, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiff-Appellee,

v.

COLUMBIA ACORN TRUST,
Defendant-Appellant.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
3/18/04	Private civil case docketed. [04-1661] [1718839-1] Transcript information sheet due 3/29/04. Fee or IFP forms due on 4/1/04 for Columbia Acorn Trust. Docketing Statement due 3/18/04. (fern) [04-1661]
	* * * * *
3/22/04	ORDER: The court, on its own motion, orders these appeals CONSOLIDATED for purposes of briefing and disposition. [1719008-1] DW [04-1660, 04-1661] [1719593-2] The appellant shall file, on or before April 2, 2004, a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. A motion for voluntary dismissal pursuant to Fed. R. App. P. 42(b) will satisfy this requirement. Briefing is SUSPENDED pending further court order. (See order for further details) Jurisdictional memo-

randum due 4/2/04 for Columbia Wanger in 04-1660, for Columbia Acorn Trust in 04-1661. (amyd) [04-1660 04-1661]

* * * * *

4/2/04 Filed Appellant Columbia Wanger in 04-1660 jurisdictional memorandum, per this court's 3/22/04. [04-1660] [1724372-1] (julr) [04-1660]

4/2/04 Filed Appellant Columbia Acorn Trust in 04-1661 jurisdictional memorandum. [04-1661, 04-1660] [1724667-1] (hard) [04-1660 04-1661]

* * * * *

6/30/04 ORDER: This consolidated appeal is within our appellate jurisdiction and will proceed to briefing and decision on the merits. See Kircher v. Putnam Funds Trust, no. 04-1495, slip op. (7th Cir. June 29, 2004). DW [04-1660, 04-1661] (kell) [04-1660 04-1661]

* * * * *

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in

04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495,

Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04 ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05 Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]

* * * * *

4/5/05 Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]

4/5/05 ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]

4/19/05 Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in

04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to

8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

9/2/05 Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]

10/4/05 Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]

1/23/06 Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-2162

AVERY JACKSON, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiff-Appellee,

v.

VAN KAMPEN SERIES FUND, INC., ET AL.,
Defendants-Appellants.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
5/5/04	Private civil case docketed. [04-2162] [1735018-1] Transcript information sheet due 5/17/04. Appellant's brief due 6/14/04 for Van Kampen Series, for Van Kampen Investmen. Docketing Statement due 5/7/04. (tiff) [04-2162]
	* * * * *
5/14/04	ORDER: Appellant Van Kampen Series, Appellant Van Kampen Investmen shall file a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. A motion for voluntary dismissal pursuant to FRAP 42(b) will satisfy this requirement. [1735018-1] DW [04-2162] [1740430-1] Briefing is SUSPENDED pending further court order. (See order for further details) Jurisdictional memorandum due 5/21/04 for Van Kampen Investmen, for Van Kampen Series. (tiff) [04-2162]

5/21/04 Filed Appellant Van Kampen Series, Appellant Van Kampen Investmen jurisdictional memorandum. [04-2162] [1740128-1] (nath) [04-2162]

* * * * *

6/30/04 ORDER: This appeal within [*sic*] our appellate jurisdiction and will proceed briefing [*sic*] and decision on the merits. See Kircher v. Putnam Funds Trust, No. 04-1495, slip op. (7th Cir. June 29, 2004). DW [04-2162] (tiff) [04-2162]

* * * * *

7/14/04 ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]

* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162,

Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

9/21/04 ORDER: Plaintiffs have initiated discovery, which the state judge has declined to stay pending the outcome of the appeal. Van Kampen seeks a stay from us. We issue the requested stay on the authority of 15 U.S.C. §77z-1(b)(4), which operates as an exception to the Anti-Injunction Act. Accordingly, plaintiffs are prohibited from seeking or taking any discovery in this litigation. If the remand order should be affirmed, the stay will terminate automatically when this court issues

its mandate. [1775291-1] LAB [04-2162] (amyd) [04-2162]

* * * * *

10/6/04 Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/25/04 Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

10/26/04	ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]
	* * * * *
1/7/05	Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]
	* * * * *
4/5/05	Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]
4/5/05	ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]
4/19/05	Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in

04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 [*et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05	Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]
9/2/05	Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]
10/4/05	Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]
1/23/06	Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]

UNITED STATES COURT OF APPEALS
FOR THE SEVENTH CIRCUIT

No. 04-2687

TERRY SPURGEON, AS CUSTODIAN FOR THE BENEFIT OF JAMES E. SPURGEON, INDIVIDUALLY AND ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,
Plaintiff-Appellee,

v.

PACIFIC LIFE INSURANCE COMPANY,
Defendant-Appellant.

DOCKET PROCEEDINGS

DATE	DOCKET ENTRY
7/6/04	Private civil case docketed. [04-2687] [1754123-1] Transcript information sheet due 7/16/04. Appellant's brief due 8/16/04 for Pacific Life Insur C. Docketing Statement due 7/8/04. (amyd) [04-2687]
	* * * * *
7/14/04	ORDER: The court orders these appeals CONSOLIDATED for purposes of briefing and disposition: [1719008-1] DW [04-1495, [*et al.*]] 1. The appellants shall file a single, consolidated brief and required short appendix by 8/30/04. 2. The appellees shall file a single, consolidated brief by 10/4/04. 3. The appellants shall file a single, consolidated reply brief, if any, by 10/25/04. (hudk) [04-1495 [*et al.*]]
	* * * * *

8/30/04 Filed 15c appellant's brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]

8/30/04 Filed 10c appendix by Appellant Putnam Funds Trust in 04-1495, Appellant Putnam Investment in 04-1495, Appellant Putnam Int'l Equity in 04-1496, Appellant Putnam Investment in 04-1496, Appellant Putnam Investment in 04-1608, Appellant Putnam Investment Fu in 04-1608, Appellant Artisan Funds Inc in 04-1628, Appellant Artisan Partners in 04-1628, Appellant Scudder Int'l Fund in 04-1650, Appellant Deutsche Investment in 04-1650, Appellant Janus Investment Fun in 04-1651, Appellant Janus Capital Mgmt in 04-1651, Appellant Columbia Wanger in 04-1660, Appellant Columbia Acorn Trust in 04-1661, Appellant Van Kampen Series in 04-2162, Appellant Van Kampen Investmen in 04-2162, Appellant Pacific Life Insur C in 04-2687. [04-1495, [*et al.*]] [1771540-1] (andb) [04-1495 [*et al.*]]

* * * * *

10/6/04	Filed 15c appellee's brief by Carl Kircher in 04-1495, Robert Brockway in 04-1495, Steve Dudley in 04-1496, Beth Dudley in 04-1496, Steve Dudley in 04-1608, Beth Dudley in 04-1608, T. K. Parthasarathy in 04-1628, Edmund Woodbury in 04-1628, Stuart A. Smith in 04-1628, Sharon Smith in 04-1628, Dorothy Luettinger in 04-1650, Robert Potter in 04-1650, Dorothy Luettinger in 04-1651, Robert Potter in 04-1651, Gary Vogeler in 04-1660, Gary Vogeler in 04-1661, Avery Jackson in 04-2162, Terry Spurgeon in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]
10/25/04	Filed 15c appellant's reply brief by Putnam Funds Trust in 04-1495, Putnam Investment in 04-1495, Putnam Int'l Equity in 04-1496, Putnam Investment in 04-1496, Putnam Investment in 04-1608, Putnam Investment Fu in 04-1608, Artisan Funds Inc in 04-1628, Artisan Partners in 04-1628, Scudder Int'l Fund in 04-1650, Deutsche Investment in 04-1650, Janus Investment Fun in 04-1651, Janus Capital Mgmt in 04-1651, Columbia Wanger in 04-1660, Columbia Acorn Trust in 04-1661, Van Kampen Series in 04-2162, Van Kampen Investmen in 04-2162, Pacific Life Insur C in 04-2687. Electronically Transmitted. [04-1495, [*et al.*]] [0-0] (andb) [04-1495 [*et al.*]]
10/26/04	ORDER issued GRANTING motion for stay. The district court's order remanding the case to state court is STAYED

pending resolution of the appeal. [1776032-1] ERW [04-2687] (tiff) [04-2687]

* * * * *

1/7/05 Case heard and taken under advisement by panel: Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1814513-1] (kare) [04-1495 [*et al.*]]

* * * * *

4/5/05 Filed opinion of the court by Judge Easterbrook. The judgments of the district court are REVERSED and the cases are REMANDED with instructions to undo the remand orders and dismiss plaintiffs' state-law claims. Circuit Judge Frank H. Easterbrook, Circuit Judge Kenneth F. Ripple, Circuit Judge Diane P. Wood. [04-1495, [*et al.*]] [1718486-1] (hard) [04-1495 [*et al.*]]

4/5/05 ORDER: Final judgment filed per opinion. With costs: y. [04-1495, [*et al.*]] [1844987-1] (hard) [04-1495 [*et al.*]]

4/19/05 Filed 30c Petition for Rehearing and Petition for Rehearing Enbanc by Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in

04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687. Dist. [04-1495, [*et al.*]] [1850156-1] (amyd) [04-1495 *et al.*]]

5/2/05 ORDER: Appellee Carl Kircher in 04-1495, Appellee Robert Brockway in 04-1495, Appellee Steve Dudley in 04-1496, Appellee Beth Dudley in 04-1496, Appellee Steve Dudley in 04-1608, Appellee Beth Dudley in 04-1608, Appellee T. K. Parthasarathy in 04-1628, Appellee Edmund Woodbury in 04-1628, Appellee Stuart A. Smith in 04-1628, Appellee Sharon Smith in 04-1628, Appellee Dorothy Luettinger in 04-1650, Appellee Robert Potter in 04-1650, Appellee Dorothy Luettinger in 04-1651, Appellee Robert Potter in 04-1651, Appellee Gary Vogeler in 04-1660, Appellee Gary Vogeler in 04-1661, Appellee Avery Jackson in 04-2162, Appellee Terry Spurgeon in 04-2687 Petition for Rehearing and Petition for Rehearing Enbanc is DENIED. [04-1495, [*et al.*]] [1850156-1] (fern) [04-1495 [*et al.*]]

5/10/05 MANDATE ISSUED AND ENTIRE RECORD RETURNED. (Contents returned: 12 vol. pleadings; 1 vol. loose pleadings; 2 vol. exhibits.) [04-1495, [*et al.*]] [1754123-1] (cove) [04-1495 [*et al.*]]

* * * * *

7/26/05	Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 8/30/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]
9/2/05	Notice from the Supreme Court that a motion for extension of time to file a Petition for Writ of Certiorari has been GRANTED, extending such time to 9/29/05. [1718486-1] [04-1495, [*et al.*]] (elea) [04-1495 [*et al.*]]
10/4/05	Filed notice from the Supreme Court of the filing of a Petition for Writ of Certiorari. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1908945-1] (elea) [04-1495 [*et al.*]]
1/23/06	Filed order from the Supreme Court, the Petition for Writ of Certiorari is GRANTED limited to question 1 presented by the petition. Supreme Court Case No.: 05-409. [04-1495, [*et al.*]] [1946536-1] (hudk) [04-1495 [*et al.*]]